AUBURN NATIONAL BANCORPORATION INC

Form 4 April 01, 2003

SEC Form 4

FORM 4	UNI	TED STATES SECURI COMMIS	OMB APPROVAL				
[] Check this box if no		Washington, D.	.C. 20549				
longer subject to Section 16. Form 4 or Form 5 obligations may continue.	STA	TEMENT OF CHANGES IN	OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5				
See Instruction 1(b).	Filed purs	uant to Section 16(a) of the Secu					
		17(a) of the Pul					
	Holding Co	mpany Act of 1935 or Section 30					
1. Name and Address of Repor Person* Johnson, William Thomas	rting	2. Issuer Name and Ticker or Trading Symbol	4. Statement for (Month/Day/Year	6. Relat to Issue	elationship of Reporting Person(s) suer (Check all applicable)		
(Last) (First) (Middle)		Auburn National Bancorporation Inc aubn	03/28/2003	X Office	tor _ 10% Owner er (give title below) _ Other		
2747 Gunston Court			5. If Amendment,	(specity	v below)		
(Street) Auburn, AL 36830		3. I.R.S. Identification Number of Reporting Person, if an entity	Date of Original (Month/Day/Year)	Description			
(City) (State) (Zip)	(voluntary) 419-64-6067			7. Individual or Joint/Group Filing (Check Applicable Line)			
(<u>-</u> ,b)				Person Forn	n filed by One Reporting n filed by More than One ng Person		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	 3. 4. Securities Acquired Transaction (A) or Disposed Of (D) Code (Instr. 3, 4, and 5) (Instr. 8) 			Of (D)	5. Amount of Securities Beneficially Owned Following Direct		7. Nature of Indirect Beneficial Ownership		
			Code	۷	Amount	A/D	Price	Reported Transaction(s)or Indirect (I)(Instr. 3 and 4)(Instr. 4)	(Instr. 4)		
aubn common stock	03/28/2003		Ρ		15	A	13.53	2,182	D	DRIP & OCP	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exercise Price of Deri- vative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/	4. Transactio Code (Instr.8)	Derivative Securities	and Expirati Date(ED)	7. Title and e&Dfb)unt of Underlying oSecurities (Instr. 3 and Df)y/Year)	8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned Following Reported	10. Owner- ship Form of Deriv- ative Securities:		

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		Day/ Year)			Dispos Of (D) (Ins 4 and	tr. 3,					Transaction(s) (Instr.4)	Direct (D) or Indirect (I) (Instr.4)
			Code	۷	A	D	DE	ED	Title	Amount or Number of Shares		
											\$	

Explanation of Responses:

By:	Date:	
<u>/s/ William Thomas Johnson</u>	04/01/2003	
Officer		
** Signature of Reporting Person		SEC 1474 (9-02)
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.		
* If the form is filed by more than one reporting person, see Instruction $4(b)(v)$.		
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).	5	
Note: File three copies of this Form, one of which must be manually signed. If space is		
insufficient, see Instruction 6 for procedure.		
Potential persons who are to respond to the collection of information contained in this		

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.