

COMFORT SYSTEMS USA INC  
Form 4  
May 03, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**GEORGE WILLIAM III**

2. Issuer Name and Ticker or Trading Symbol  
**COMFORT SYSTEMS USA INC [FIX]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
**05/02/2017**

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
Chief Financial Officer

**C/O COMFORT SYSTEMS USA, INC., 675 BERING DRIVE, SUITE 400**

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**HOUSTON, TX 77057**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	05/02/2017		M		3,000	A	\$ 13.15
Common Stock	05/02/2017		M		3,000	A	\$ 12.46
Common Stock	05/02/2017		M		16,454	A	\$ 11.21
Common Stock	05/02/2017		S		22,454	D	\$ 36.47

Edgar Filing: COMFORT SYSTEMS USA INC - Form 4

Common Stock    05/02/2017    S    5,942    D    \$ 36.8  
 (1)    41,120    D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
Option to Buy	\$ 13.15	05/02/2017		M	3,000	03/26/2011 <sup>(2)</sup> 03/26/2018	Common Stock 3,000
Option to Buy	\$ 12.46	05/02/2017		M	3,000	03/25/2013 <sup>(3)</sup> 03/25/2020	Common Stock 3,000
Option to Buy	\$ 11.21	05/02/2017		M	16,454	03/26/2015 <sup>(4)</sup> 03/26/2022	Common Stock 16,454

**Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GEORGE WILLIAM III C/O COMFORT SYSTEMS USA, INC. 675 BERING DRIVE, SUITE 400 HOUSTON, TX 77057			Chief Financial Officer	

**Signatures**

/s/ Trent T. McKenna, by power of attorney    05/03/2017

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the average price of shares sold; full information regarding the number of shares sold and specific prices will be made available upon request to the Company's Officer of the General Counsel.
- (2) Stock options were granted on 3/26/2008; vested equally over a three-year period, and were fully vested on 3/26/2011.
- (3) Stock options were granted on 3/25/2010, vested equally over a three-year period, and were fully vested on 3/25/2013.
- (4) Stock options were granted on 3/26/2012, vested equally over a three-year period, and were fully vested on 3/26/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.