

LIQUIDITY SERVICES INC
Form 4
November 30, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Mateus-Tique Jaime

(Last) (First) (Middle)

C/O LIQUIDITY SERVICES, INC., 1920 L STREET, N.W., 6TH FLOOR

(Street)

WASHINGTON, DC 20036

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
LIQUIDITY SERVICES INC [LQDT]

3. Date of Earliest Transaction (Month/Day/Year)
11/25/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) or (D)	Price		
			Code	V	Amount		
Common Stock	11/25/2015		S		12,000	D	
					\$ 6.68		
Common Stock	11/25/2015		P		67,392	A	By the Em El 2007 Irrevocable Trust
					\$ 6.72		
Common Stock	11/27/2015		P		32,608	A	By the Em El 2007 Irrevocable Trust
					\$ 6.78		

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Common
Stock

163,208 I

By the Jaime
Mateus-Tique
2005
Irrevocable
Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Grant	\$ 9.84					<u>(2)</u>	02/01/2025	Common Stock	9,654
Employee Stock Option	\$ 21.53					<u>(3)</u>	02/01/2024	Common Stock	20,061
Employee Stock Option	\$ 40.11					<u>(4)</u>	02/01/2023	Common Stock	5,954
Employee Stock Option	\$ 42.31					<u>(5)</u>	02/01/2022	Common Stock	5,328
Employee Stock Option	\$ 14.3					<u>(6)</u>	02/01/2021	Common Stock	15,012
Employee Stock Option	\$ 11.77					<u>(7)</u>	02/01/2020	Common Stock	18,612
	\$ 12.02					<u>(8)</u>	10/01/2017		76,000

Employee Stock Option				Common Stock	
Employee Stock Option	\$ 17.63	(9)	09/21/2016	Common Stock	47,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mateus-Tique Jaime C/O LIQUIDITY SERVICES, INC. 1920 L STREET, N.W., 6TH FLOOR WASHINGTON, DC 20036	X			

Signatures

/s/ James E. Williams, by power of attorney
11/30/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 3,364 restricted shares that became fully vested on February 1, 2011.
- (2) These restricted shares have a one-year vesting period such that 100% of this restricted share grant will vest on February 1, 2016.
- (3) These options became fully vested on February 1, 2015.
- (4) These options became fully vested on February 1, 2014.
- (5) These options became fully vested on February 1, 2013.
- (6) These options became fully vested on February 1, 2012.
- (7) These options became fully vested on February 1, 2011.
- (8) These options became fully vested on October 1, 2012.
- (9) These options became fully vested on September 21, 2010.

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