

Edgar Filing: TD AMERITRADE HOLDING CORP - Form 10-Q

TD AMERITRADE HOLDING CORP

Form 10-Q

April 29, 2019

00.00150.08P1M0.00250.0003257000000P5YP5Yfalse--09-30Q220192019-03-310001173431falseLarge Accelerated  
FilerTD AMERITRADE HOLDING

CORPORATIONfalseAMTD0000.010.01100000000010000000006700000006700000005630000005540000000.01250.00750

0001173431 2018-10-01 2019-03-31 0001173431 2019-04-22 0001173431 2018-09-30 0001173431 2019-03-31

0001173431 2019-01-01 2019-03-31 0001173431 2017-10-01 2018-03-31 0001173431 2018-01-01 2018-03-31

0001173431 us-gaap:AccumulatedOtherComprehensiveIncomeMember 2018-03-31 0001173431

us-gaap:AdditionalPaidInCapitalMember 2018-01-01 2018-03-31 0001173431 us-gaap:RetainedEarningsMember

2018-01-01 2018-03-31 0001173431 us-gaap:RetainedEarningsMember 2017-12-31 0001173431

us-gaap:TreasuryStockMember 2018-01-01 2018-03-31 0001173431 us-gaap:CommonStockMember 2018-03-31

0001173431 us-gaap:TreasuryStockMember 2017-12-31 0001173431 us-gaap:AdditionalPaidInCapitalMember

2018-03-31 0001173431 amtd:DeferredCompensationMember 2018-03-31 0001173431

us-gaap:TreasuryStockMember 2018-03-31 0001173431 2017-12-31 0001173431

us-gaap:AccumulatedOtherComprehensiveIncomeMember 2018-01-01 2018-03-31 0001173431

us-gaap:AdditionalPaidInCapitalMember 2017-12-31 0001173431 2018-03-31 0001173431

us-gaap:AccumulatedOtherComprehensiveIncomeMember 2017-12-31 0001173431

amtd:DeferredCompensationMember 2017-12-31 0001173431 us-gaap:CommonStockMember 2017-12-31

0001173431 us-gaap:RetainedEarningsMember 2018-03-31 0001173431

us-gaap:AccumulatedOtherComprehensiveIncomeMember 2017-09-30 0001173431

us-gaap:AdditionalPaidInCapitalMember 2017-10-01 2018-03-31 0001173431 us-gaap:CommonStockMember

2017-09-30 0001173431 us-gaap:RetainedEarningsMember 2017-10-01 2018-03-31 0001173431

amtd:DeferredCompensationMember 2017-09-30 0001173431 us-gaap:RetainedEarningsMember 2017-09-30

0001173431 us-gaap:AdditionalPaidInCapitalMember 2017-09-30 0001173431 us-gaap:TreasuryStockMember

2017-10-01 2018-03-31 0001173431 us-gaap:TreasuryStockMember 2017-09-30 0001173431

us-gaap:AccumulatedOtherComprehensiveIncomeMember 2017-10-01 2018-03-31 0001173431 2017-09-30

0001173431 us-gaap:AdditionalPaidInCapitalMember 2018-10-01 2019-03-31 0001173431

us-gaap:CommonStockMember 2018-10-01 2019-03-31 0001173431 amtd:DeferredCompensationMember

2019-03-31 0001173431 us-gaap:CommonStockMember 2019-03-31 0001173431 us-gaap:TreasuryStockMember

2019-03-31 0001173431 us-gaap:TreasuryStockMember 2018-09-30 0001173431 us-gaap:CommonStockMember

2018-09-30 0001173431 us-gaap:RetainedEarningsMember 2018-10-01 2019-03-31 0001173431

us-gaap:RetainedEarningsMember 2018-09-30 0001173431 us-gaap:TreasuryStockMember 2018-10-01 2019-03-31

0001173431 amtd:DeferredCompensationMember 2018-09-30 0001173431 us-gaap:RetainedEarningsMember

2019-03-31 0001173431 us-gaap:AccumulatedOtherComprehensiveIncomeMember 2018-10-01 2019-03-31

0001173431 us-gaap:AccumulatedOtherComprehensiveIncomeMember 2018-09-30 0001173431

us-gaap:AdditionalPaidInCapitalMember 2018-09-30 0001173431 us-gaap:AdditionalPaidInCapitalMember

2019-03-31 0001173431 us-gaap:AccumulatedOtherComprehensiveIncomeMember 2019-03-31 0001173431

us-gaap:AdditionalPaidInCapitalMember 2019-01-01 2019-03-31 0001173431 us-gaap:RetainedEarningsMember

2019-01-01 2019-03-31 0001173431 us-gaap:TreasuryStockMember 2018-12-31 0001173431

us-gaap:AdditionalPaidInCapitalMember 2018-12-31 0001173431 us-gaap:CommonStockMember 2018-12-31

0001173431 us-gaap:AccumulatedOtherComprehensiveIncomeMember 2019-01-01 2019-03-31 0001173431

2018-12-31 0001173431 us-gaap:AccumulatedOtherComprehensiveIncomeMember 2018-12-31 0001173431

us-gaap:TreasuryStockMember 2019-01-01 2019-03-31 0001173431 amtd:DeferredCompensationMember

2018-12-31 0001173431 us-gaap:CommonStockMember 2019-01-01 2019-03-31 0001173431

us-gaap:RetainedEarningsMember 2018-12-31 0001173431 us-gaap:AccountingStandardsUpdate201618Member

2017-10-01 2018-03-31 0001173431 amtd:InvestmentAdvisorySubsidiariesMember 2018-09-30 0001173431

amtd:BrokerDealerSubsidiariesMember 2018-09-30 0001173431 amtd:BrokerDealerSubsidiariesMember 2019-03-31

0001173431 amtd:TrustCompanySubsidiaryMember 2018-09-30 0001173431

amtd:TrustCompanySubsidiaryMember 2019-03-31 0001173431

amtd:FuturesCommissionMerchantandForexDealerMemberSubsidiaryMember 2018-09-30 0001173431

Edgar Filing: TD AMERITRADE HOLDING CORP - Form 10-Q

us-gaap:CorporateMember 2019-03-31 0001173431 amtd:InvestmentAdvisorySubsidiariesMember 2019-03-31  
0001173431 amtd:FuturesCommissionMerchantandForexDealerMemberSubsidiaryMember 2019-03-31 0001173431  
us-gaap:CorporateMember 2018-09-30 0001173431 us-gaap:DemandDepositsMember 2019-03-31 0001173431  
amtd:ReverseRepurchaseAgreementsMember 2018-09-30 0001173431  
amtd:CashOnDepositWithFuturesCommissionMerchantMember 2018-09-30 0001173431  
amtd:CashOnDepositWithFuturesCommissionMerchantMember 2019-03-31 0001173431  
amtd:USGovernmentAgenciesDebtSecuritiesMortgagebackedSecuritiesMember 2018-09-30 0001173431  
amtd:UsGovernmentDebtSecuritiesOnDepositWithFuturesCommissionMerchantMember 2019-03-31 0001173431  
amtd:UsGovernmentDebtSecuritiesOnDepositWithFuturesCommissionMerchantMember 2018-09-30 0001173431  
us-gaap:USGovernmentDebtSecuritiesMember 2018-09-30 0001173431  
amtd:USGovernmentAgenciesDebtSecuritiesMortgagebackedSecuritiesMember 2019-03-31 0001173431  
us-gaap:USGovernmentDebtSecuritiesMember 2019-03-31 0001173431  
amtd:ReverseRepurchaseAgreementsMember 2019-03-31 0001173431 us-gaap:DemandDepositsMember 2018-09-30  
0001173431 amtd:ContractTerminationandOtherCostsMember 2019-03-31 0001173431  
amtd:ContractTerminationandOtherCostsMember 2019-01-01 2019-03-31 0001173431  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2018-12-31 0001173431  
amtd:ContractTerminationandOtherCostsMember 2018-12-31 0001173431  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2019-03-31 0001173431  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2019-01-01 2019-03-31 0001173431  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2018-03-31 0001173431  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2017-10-01 2018-03-31 0001173431  
amtd:ContractTerminationandOtherCostsMember 2017-10-01 2018-03-31 0001173431  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2017-09-30 0001173431  
amtd:ContractTerminationandOtherCostsMember 2017-09-30 0001173431  
amtd:ContractTerminationandOtherCostsMember 2018-03-31 0001173431  
amtd:ScottradeFinancialServicesInc.AcquisitionMember amtd:ClearingAndExecutionCostsMember  
amtd:ContractTerminationandOtherCostsMember 2017-09-18 2019-03-31 0001173431  
amtd:ScottradeFinancialServicesInc.AcquisitionMember amtd:CommunicationsMember  
amtd:ContractTerminationandOtherCostsMember 2017-09-18 2019-03-31 0001173431  
amtd:ScottradeFinancialServicesInc.AcquisitionMember amtd:EmployeeCompensationandBenefitsMember  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2017-09-18 2019-03-31 0001173431  
amtd:ScottradeFinancialServicesInc.AcquisitionMember amtd:ProfessionalServicesMember  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2017-09-18 2019-03-31 0001173431  
amtd:ScottradeFinancialServicesInc.AcquisitionMember amtd:OccupancyandEquipmentCostsMember  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2017-09-18 2019-03-31 0001173431  
amtd:ScottradeFinancialServicesInc.AcquisitionMember us-gaap:OtherNonoperatingIncomeExpenseMember  
2017-09-18 2019-03-31 0001173431 amtd:ScottradeFinancialServicesInc.AcquisitionMember  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2017-09-18 2019-03-31 0001173431  
amtd:ScottradeFinancialServicesInc.AcquisitionMember amtd:ClearingAndExecutionCostsMember 2017-09-18  
2019-03-31 0001173431 amtd:ScottradeFinancialServicesInc.AcquisitionMember  
amtd:EmployeeCompensationandBenefitsMember amtd:ContractTerminationandOtherCostsMember 2017-09-18  
2019-03-31 0001173431 amtd:ScottradeFinancialServicesInc.AcquisitionMember  
us-gaap:OtherOperatingIncomeExpenseMember amtd:EmployeeSeveranceandOtherTerminationBenefitsMember  
2017-09-18 2019-03-31 0001173431 amtd:ScottradeFinancialServicesInc.AcquisitionMember 2017-09-18  
2019-03-31 0001173431 amtd:ScottradeFinancialServicesInc.AcquisitionMember amtd:CommunicationsMember  
2017-09-18 2019-03-31 0001173431 amtd:ScottradeFinancialServicesInc.AcquisitionMember  
amtd:ClearingAndExecutionCostsMember amtd:EmployeeSeveranceandOtherTerminationBenefitsMember  
2017-09-18 2019-03-31 0001173431 amtd:ScottradeFinancialServicesInc.AcquisitionMember  
us-gaap:OtherOperatingIncomeExpenseMember 2017-09-18 2019-03-31 0001173431  
amtd:ScottradeFinancialServicesInc.AcquisitionMember amtd:ProfessionalServicesMember  
amtd:ContractTerminationandOtherCostsMember 2017-09-18 2019-03-31 0001173431

Edgar Filing: TD AMERITRADE HOLDING CORP - Form 10-Q

amtd:ScottradeFinancialServicesInc.AcquisitionMember us-gaap:OtherNonoperatingIncomeExpenseMember  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2017-09-18 2019-03-31 0001173431  
amtd:ScottradeFinancialServicesInc.AcquisitionMember us-gaap:OtherOperatingIncomeExpenseMember  
amtd:ContractTerminationandOtherCostsMember 2017-09-18 2019-03-31 0001173431  
amtd:ScottradeFinancialServicesInc.AcquisitionMember us-gaap:OtherNonoperatingIncomeExpenseMember  
amtd:ContractTerminationandOtherCostsMember 2017-09-18 2019-03-31 0001173431  
amtd:ScottradeFinancialServicesInc.AcquisitionMember amtd:CommunicationsMember  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2017-09-18 2019-03-31 0001173431  
amtd:ScottradeFinancialServicesInc.AcquisitionMember amtd:OccupancyandEquipmentCostsMember 2017-09-18  
2019-03-31 0001173431 amtd:ScottradeFinancialServicesInc.AcquisitionMember  
amtd:OccupancyandEquipmentCostsMember amtd:ContractTerminationandOtherCostsMember 2017-09-18  
2019-03-31 0001173431 amtd:ScottradeFinancialServicesInc.AcquisitionMember amtd:ProfessionalServicesMember  
2017-09-18 2019-03-31 0001173431 amtd:ScottradeFinancialServicesInc.AcquisitionMember  
amtd:ContractTerminationandOtherCostsMember 2017-09-18 2019-03-31 0001173431  
amtd:ScottradeFinancialServicesInc.AcquisitionMember amtd:EmployeeCompensationandBenefitsMember  
2017-09-18 2019-03-31 0001173431 amtd:ContractTerminationandOtherCostsMember 2018-09-30 0001173431  
amtd:ContractTerminationandOtherCostsMember 2018-10-01 2019-03-31 0001173431  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2018-10-01 2019-03-31 0001173431  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2018-09-30 0001173431  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2017-12-31 0001173431  
amtd:EmployeeSeveranceandOtherTerminationBenefitsMember 2018-01-01 2018-03-31 0001173431  
amtd:ContractTerminationandOtherCostsMember 2018-01-01 2018-03-31 0001173431  
amtd:ContractTerminationandOtherCostsMember 2017-12-31 0001173431 amtd:PreTaxCutsandJobsActMember  
2017-10-01 2018-03-31 0001173431 amtd:PostTaxCutsandJobsActMember 2017-10-01 2018-03-31 0001173431  
us-gaap:AccountsPayableAndAccruedLiabilitiesMember amtd:PayVariableInterestRateSwapMember 2018-09-30  
0001173431 us-gaap:OtherAssetsMember amtd:PayVariableInterestRateSwapMember 2018-09-30 0001173431  
us-gaap:AccountsPayableAndAccruedLiabilitiesMember amtd:PayVariableInterestRateSwapMember 2019-03-31  
0001173431 us-gaap:OtherAssetsMember amtd:PayVariableInterestRateSwapMember 2019-03-31 0001173431  
us-gaap:SeniorNotesMember 2019-03-31 0001173431 amtd:SeniorNotesDue2021Member  
us-gaap:SeniorNotesMember 2019-03-31 0001173431 amtd:SeniorNotesDue2024Member  
us-gaap:SeniorNotesMember 2019-03-31 0001173431 amtd:SeniorNotesDue2027Member  
us-gaap:SeniorNotesMember 2019-03-31 0001173431 amtd:SeniorNotesDue2022Member  
us-gaap:SeniorNotesMember 2019-03-31 0001173431 amtd:SeniorNotesDue2025Member  
us-gaap:SeniorNotesMember 2019-03-31 0001173431 amtd:SeniorNotesDue2019Member  
us-gaap:SeniorNotesMember 2019-03-31 0001173431 amtd:SeniorNotesDue2019Member  
us-gaap:SeniorNotesMember 2018-09-30 0001173431  
us-gaap:SecuritiesSoldUnderAgreementsToRepurchaseMember 2018-09-30 0001173431  
us-gaap:SeniorNotesMember 2018-09-30 0001173431 amtd:SeniorNotesDue2027Member  
us-gaap:SeniorNotesMember 2018-09-30 0001173431 amtd:SeniorNotesDue2022Member  
us-gaap:SeniorNotesMember 2018-09-30 0001173431 amtd:SeniorNotesDue2025Member  
us-gaap:SeniorNotesMember 2018-09-30 0001173431 amtd:SeniorNotesDue2021Member  
us-gaap:SeniorNotesMember us-gaap:LondonInterbankOfferedRateLIBORMember 2018-10-01 2019-03-31  
0001173431 amtd:TDAmeritradeClearingIncMember us-gaap:RevolvingCreditFacilityMember  
amtd:RevolvingFacility600MillionDollarsMember 2017-04-21 0001173431 amtd:TDAmeritradeClearingIncMember  
us-gaap:RevolvingCreditFacilityMember 2019-03-31 0001173431 srt:ParentCompanyMember srt:MaximumMember  
us-gaap:RevolvingCreditFacilityMember 2018-10-01 2019-03-31 0001173431 srt:ParentCompanyMember  
us-gaap:RevolvingCreditFacilityMember amtd:ABRLoansMember us-gaap:FederalFundsEffectiveSwapRateMember  
2018-10-01 2019-03-31 0001173431 srt:ParentCompanyMember srt:MinimumMember  
us-gaap:RevolvingCreditFacilityMember 2018-10-01 2019-03-31 0001173431  
amtd:TDAmeritradeClearingIncMember us-gaap:RevolvingCreditFacilityMember  
amtd:RevolvingFacility850MillionDollarsMember 2018-05-17 0001173431 amtd:SeniorNotesDue2021Member

Edgar Filing: TD AMERITRADE HOLDING CORP - Form 10-Q

us-gaap:SeniorNotesMember 2018-10-30 0001173431 amtd:TD Ameritrade Clearing Inc Member  
us-gaap:LineOfCreditMember 2019-03-31 0001173431 amtd:TD Ameritrade Clearing Inc Member  
srt:MaximumMember us-gaap:RevolvingCreditFacilityMember amtd:RevolvingFacility850MillionDollarsMember  
2018-05-17 2018-05-17 0001173431 amtd:PayVariableInterestRateSwapMember us-gaap:SeniorNotesMember  
us-gaap:LondonInterbankOfferedRateLIBORMember 2018-10-01 2019-03-31 0001173431  
srt:ParentCompanyMember us-gaap:RevolvingCreditFacilityMember 2017-04-21 0001173431  
srt:ParentCompanyMember srt:MaximumMember us-gaap:RevolvingCreditFacilityMember  
amtd:EurodollarLoansMember 2018-10-01 2019-03-31 0001173431 amtd:SeniorNotesDue2024Member  
us-gaap:SeniorNotesMember 2018-10-30 0001173431 amtd:TD Ameritrade Clearing Inc Member srt:MaximumMember  
us-gaap:RevolvingCreditFacilityMember amtd:EurodollarLoansMember 2018-10-01 2019-03-31 0001173431  
amtd:TD Ameritrade Clearing Inc Member srt:MinimumMember us-gaap:RevolvingCreditFacilityMember 2018-10-01  
2019-03-31 0001173431 amtd:TD Ameritrade Clearing Inc Member us-gaap:RevolvingCreditFacilityMember  
amtd:RevolvingFacility600MillionDollarsMember 2018-10-01 2019-03-31 0001173431  
amtd:PayVariableInterestRateSwapMember us-gaap:CashMember 2019-03-31 0001173431  
amtd:TD Ameritrade Clearing Inc Member us-gaap:RevolvingCreditFacilityMember  
amtd:RevolvingFacility850MillionDollarsMember 2018-10-01 2019-03-31 0001173431  
amtd:TD Ameritrade Clearing Inc Member srt:MaximumMember us-gaap:RevolvingCreditFacilityMember 2018-10-01  
2019-03-31 0001173431 srt:ParentCompanyMember srt:MaximumMember us-gaap:RevolvingCreditFacilityMember  
amtd:ABRLoansMember 2018-10-01 2019-03-31 0001173431 srt:ParentCompanyMember srt:MinimumMember  
us-gaap:RevolvingCreditFacilityMember amtd:ABRLoansMember 2018-10-01 2019-03-31 0001173431  
amtd:TD Ameritrade Clearing Inc Member us-gaap:RevolvingCreditFacilityMember 2017-04-21 0001173431  
amtd:SeniorNotesDue2025Member amtd:PayVariableInterestRateSwapMember us-gaap:SeniorNotesMember  
us-gaap:LondonInterbankOfferedRateLIBORMember 2019-03-31 0001173431 amtd:SeniorNotesDue2022Member  
amtd:PayVariableInterestRateSwapMember us-gaap:SeniorNotesMember  
us-gaap:LondonInterbankOfferedRateLIBORMember 2019-03-31 0001173431  
amtd:TD Ameritrade Clearing Inc Member us-gaap:RevolvingCreditFacilityMember  
amtd:TD ACEurodollarLoansMember us-gaap:LondonInterbankOfferedRateLIBORMember 2018-10-01 2019-03-31  
0001173431 amtd:TD Ameritrade Clearing Inc Member srt:MinimumMember us-gaap:RevolvingCreditFacilityMember  
amtd:RevolvingFacility850MillionDollarsMember 2017-04-21 2017-04-21 0001173431 srt:ParentCompanyMember  
us-gaap:RevolvingCreditFacilityMember 2019-03-31 0001173431 srt:ParentCompanyMember  
us-gaap:RevolvingCreditFacilityMember amtd:ABRLoansMember us-gaap:EurodollarMember 2018-10-01  
2019-03-31 0001173431 srt:ParentCompanyMember us-gaap:RevolvingCreditFacilityMember  
amtd:ABRLoansMember 2018-10-01 2019-03-31 0001173431 amtd:SeniorNotesDue2021And2024Member  
us-gaap:SeniorNotesMember 2018-10-01 2019-03-31 0001173431 srt:ParentCompanyMember srt:MinimumMember  
us-gaap:RevolvingCreditFacilityMember amtd:EurodollarLoansMember 2018-10-01 2019-03-31 0001173431  
us-gaap:SecuritiesSoldUnderAgreementsToRepurchaseMember 2019-03-31 0001173431  
amtd:PayVariableInterestRateSwapMember us-gaap:CashMember 2018-09-30 0001173431  
amtd:SeniorNotesDue2019Member amtd:PayVariableInterestRateSwapMember us-gaap:SeniorNotesMember  
us-gaap:LondonInterbankOfferedRateLIBORMember 2019-03-31 0001173431  
amtd:IntercompanyCreditFacilityMember 2019-03-31 0001173431 amtd:TD Ameritrade Clearing Inc Member  
srt:MinimumMember us-gaap:RevolvingCreditFacilityMember amtd:EurodollarLoansMember 2018-10-01  
2019-03-31 0001173431 srt:ParentCompanyMember us-gaap:RevolvingCreditFacilityMember  
amtd:EurodollarLoansMember 2018-10-01 2019-03-31 0001173431 amtd:SeniorNotesDue2027Member  
amtd:PayVariableInterestRateSwapMember us-gaap:SeniorNotesMember  
us-gaap:LondonInterbankOfferedRateLIBORMember 2019-03-31 0001173431 srt:ParentCompanyMember  
us-gaap:RevolvingCreditFacilityMember 2018-10-01 2019-03-31 0001173431  
amtd:TD Ameritrade Clearing Inc Member us-gaap:RevolvingCreditFacilityMember 2017-04-21 2017-04-21  
0001173431 amtd:TD Ameritrade Futures and Forex LLC Member amtd:CommittedIntercompanyCreditFacilityMember  
2019-03-31 0001173431 amtd:TD Ameritrade Clearing Inc Member  
amtd:CommittedIntercompanyCreditFacilityMember 2019-03-31 0001173431  
amtd:TD Ameritrade Clearing Inc Member amtd:UncommittedIntercompanyCreditFacilityMember 2019-03-31

Edgar Filing: TD AMERITRADE HOLDING CORP - Form 10-Q

0001173431 amtd:TDAmeritradeIncMember amtd:UncommittedIntercompanyCreditFacilityMember 2019-03-31  
0001173431 amtd:TDAmeritradeClearingIncMember srt:MaximumMember us-gaap:RevolvingCreditFacilityMember  
amtd:FederalFundsRateLoansMember 2018-10-01 2019-03-31 0001173431  
amtd:SeniorNotesDue2021And2024Member us-gaap:SeniorNotesMember 2019-03-31 0001173431  
amtd:TDAmeritradeClearingIncMember srt:MinimumMember us-gaap:RevolvingCreditFacilityMember  
amtd:FederalFundsRateLoansMember 2018-10-01 2019-03-31 0001173431 amtd:TDAmeritradeClearingIncMember  
us-gaap:RevolvingCreditFacilityMember 2018-09-30 0001173431 srt:ParentCompanyMember  
us-gaap:RevolvingCreditFacilityMember 2018-09-30 0001173431 amtd:TDAmeritradeClearingIncMember  
us-gaap:LineOfCreditMember 2018-09-30 0001173431 amtd:IntercompanyCreditFacilityMember 2018-09-30  
0001173431 amtd:TDAmeritradeClearingIncMember us-gaap:RevolvingCreditFacilityMember  
amtd:FederalFundsRateLoansMember 2018-10-01 2019-03-31 0001173431  
amtd:TDAmeritradeFuturesandForexLLCMember 2019-03-31 0001173431  
amtd:TDAmeritradeFuturesandForexLLCMember 2018-09-30 0001173431  
amtd:TDAmeritradeTrustCompanyMember 2019-03-31 0001173431 amtd:TDAmeritradeTrustCompanyMember  
2018-09-30 0001173431 amtd:TDAmeritradeClearingIncMember 2019-03-31 0001173431  
amtd:TDAmeritradeIncMember 2019-03-31 0001173431 amtd:TDAmeritradeClearingIncMember 2018-09-30  
0001173431 amtd:TDAmeritradeIncMember 2018-09-30 0001173431 amtd:ClientMarginSecuritiesMember  
2018-09-30 0001173431 amtd:StockBorrowingsMember 2018-09-30 0001173431 amtd:StockBorrowingsMember  
2019-03-31 0001173431 amtd:ClientMarginSecuritiesMember 2019-03-31 0001173431  
amtd:SecuritiesOwnedMember us-gaap:USGovernmentDebtSecuritiesMember 2018-09-30 0001173431  
amtd:ReceivableFromBrokersDealersAndClearingOrganizationsMember us-gaap:CashMember 2018-09-30  
0001173431 amtd:ReceivableFromBrokersDealersAndClearingOrganizationsMember us-gaap:CashMember  
2019-03-31 0001173431 amtd:SecuritiesOwnedMember us-gaap:USGovernmentDebtSecuritiesMember 2019-03-31  
0001173431 srt:MaximumMember 2019-03-31 0001173431 amtd:AequitasSecuritiesLitigationMember 2018-10-01  
2019-03-31 0001173431 amtd:OrderRoutingMattersMember 2013-10-01 2014-09-30 0001173431  
srt:MinimumMember 2019-03-31 0001173431 amtd:AequitasSecuritiesLitigationMember  
amtd:CiuffitelliClassActionMember 2018-10-01 2019-03-31 0001173431 amtd:OrderRoutingMattersMember  
2014-09-30 0001173431 amtd:AequitasSecuritiesLitigationMember amtd:NonClassActionLawsuitsMember  
2019-03-31 0001173431 amtd:AequitasSecuritiesLitigationMember amtd:NonClassActionLawsuitsMember  
2018-10-01 2019-03-31 0001173431 amtd:AequitasSecuritiesLitigationMember amtd:CiuffitelliClassActionMember  
2018-07-17 0001173431 amtd:TDAmeritradeIncMember amtd:OrderRoutingMattersMember 2013-10-01 2014-09-30  
0001173431 amtd:AequitasSecuritiesLitigationMember amtd:FINRAArbitrationMember 2019-03-13 2019-03-13  
0001173431 amtd:ScottradeInc.Member amtd:OrderRoutingMattersMember 2013-10-01 2014-09-30 0001173431  
us-gaap:FairValueInputsLevel2Member us-gaap:FairValueMeasurementsRecurringMember 2019-03-31 0001173431  
us-gaap:MoneyMarketFundsMember us-gaap:FairValueMeasurementsRecurringMember 2019-03-31 0001173431  
us-gaap:FairValueInputsLevel2Member us-gaap:FairValueMeasurementsRecurringMember  
us-gaap:USGovernmentDebtSecuritiesMember 2019-03-31 0001173431  
us-gaap:FairValueMeasurementsRecurringMember us-gaap:USGovernmentDebtSecuritiesMember 2019-03-31  
0001173431 us-gaap:FairValueInputsLevel1Member us-gaap:FairValueMeasurementsRecurringMember 2019-03-31  
0001173431 us-gaap:FairValueInputsLevel1Member us-gaap:FairValueMeasurementsRecurringMember  
amtd:OtherSecuritiesMember 2019-03-31 0001173431 us-gaap:FairValueMeasurementsRecurringMember  
2019-03-31 0001173431 us-gaap:FairValueMeasurementsRecurringMember amtd:OtherSecuritiesMember  
2019-03-31 0001173431 us-gaap:FairValueMeasurementsRecurringMember  
amtd:USGovernmentAgenciesDebtSecuritiesMortgagebackedSecuritiesMember 2019-03-31 0001173431  
us-gaap:FairValueMeasurementsRecurringMember amtd:PayVariableInterestRateSwapMember 2019-03-31  
0001173431 us-gaap:FairValueInputsLevel2Member us-gaap:FairValueMeasurementsRecurringMember  
amtd:USGovernmentAgenciesDebtSecuritiesMortgagebackedSecuritiesMember 2019-03-31 0001173431  
us-gaap:FairValueInputsLevel2Member us-gaap:FairValueMeasurementsRecurringMember  
amtd:OtherSecuritiesMember 2019-03-31 0001173431 us-gaap:FairValueInputsLevel2Member  
us-gaap:FairValueMeasurementsRecurringMember amtd:PayVariableInterestRateSwapMember 2019-03-31  
0001173431 us-gaap:MoneyMarketFundsMember us-gaap:FairValueInputsLevel1Member

Edgar Filing: TD AMERITRADE HOLDING CORP - Form 10-Q

us-gaap:FairValueMeasurementsRecurringMember 2019-03-31 0001173431  
us-gaap:FairValueMeasurementsRecurringMember 2018-09-30 0001173431 us-gaap:FairValueInputsLevel2Member  
us-gaap:FairValueMeasurementsRecurringMember amtd:PayVariableInterestRateSwapMember 2018-09-30  
0001173431 us-gaap:FairValueInputsLevel2Member us-gaap:FairValueMeasurementsRecurringMember  
us-gaap:USGovernmentDebtSecuritiesMember 2018-09-30 0001173431  
us-gaap:FairValueMeasurementsRecurringMember amtd:PayVariableInterestRateSwapMember 2018-09-30  
0001173431 us-gaap:FairValueInputsLevel1Member us-gaap:FairValueMeasurementsRecurringMember  
amtd:OtherSecuritiesMember 2018-09-30 0001173431 us-gaap:FairValueMeasurementsRecurringMember  
amtd:USGovernmentAgenciesDebtSecuritiesMortgagebackedSecuritiesMember 2018-09-30 0001173431  
us-gaap:FairValueInputsLevel3Member us-gaap:FairValueMeasurementsRecurringMember 2018-09-30 0001173431  
us-gaap:FairValueMeasurementsRecurringMember us-gaap:USGovernmentDebtSecuritiesMember 2018-09-30  
0001173431 us-gaap:FairValueInputsLevel2Member us-gaap:FairValueMeasurementsRecurringMember 2018-09-30  
0001173431 us-gaap:FairValueMeasurementsRecurringMember amtd:OtherSecuritiesMember 2018-09-30  
0001173431 us-gaap:FairValueInputsLevel1Member us-gaap:FairValueMeasurementsRecurringMember 2018-09-30  
0001173431 us-gaap:FairValueInputsLevel2Member us-gaap:FairValueMeasurementsRecurringMember  
amtd:USGovernmentAgenciesDebtSecuritiesMortgagebackedSecuritiesMember 2018-09-30 0001173431  
us-gaap:FairValueInputsLevel3Member us-gaap:FairValueMeasurementsRecurringMember  
us-gaap:AuctionRateSecuritiesMember 2018-09-30 0001173431 us-gaap:MoneyMarketFundsMember  
us-gaap:FairValueMeasurementsRecurringMember 2018-09-30 0001173431 us-gaap:FairValueInputsLevel2Member  
us-gaap:FairValueMeasurementsRecurringMember amtd:OtherSecuritiesMember 2018-09-30 0001173431  
us-gaap:MoneyMarketFundsMember us-gaap:FairValueInputsLevel1Member  
us-gaap:FairValueMeasurementsRecurringMember 2018-09-30 0001173431  
us-gaap:FairValueMeasurementsRecurringMember us-gaap:AuctionRateSecuritiesMember 2018-09-30 0001173431  
amtd:ReceivableFromBrokerDealerMember 2019-03-31 0001173431  
amtd:InvestmentsSegregatedForRegulatoryPurposesMember 2019-03-31 0001173431  
amtd:PayableToBrokerDealerMember 2019-03-31 0001173431  
us-gaap:AccountsPayableAndAccruedLiabilitiesMember 2019-03-31 0001173431 us-gaap:OtherAssetsMember  
2019-03-31 0001173431 us-gaap:EquitySecuritiesMember 2018-09-30 0001173431  
us-gaap:ExchangeTradedFundsMember 2018-09-30 0001173431 us-gaap:ExchangeTradedFundsMember 2019-03-31  
0001173431 amtd:ClosedendFundsMember 2018-09-30 0001173431 amtd:OtherSecuritiesMember 2019-03-31  
0001173431 amtd:OtherSecuritiesMember 2018-09-30 0001173431 amtd:ClosedendFundsMember 2019-03-31  
0001173431 us-gaap:EquitySecuritiesMember 2019-03-31 0001173431  
amtd:TransactedThroughTheOptionClearingCorporationMember 2018-09-30 0001173431  
amtd:TransactedThroughTheOptionClearingCorporationMember 2019-03-31 0001173431  
amtd:ReceivableFromBrokerDealerMember 2018-09-30 0001173431 amtd:PayableToBrokerDealerMember  
2018-09-30 0001173431 us-gaap:AccountsPayableAndAccruedLiabilitiesMember 2018-09-30 0001173431  
us-gaap:OtherAssetsMember 2018-09-30 0001173431  
us-gaap:SecuritiesSoldUnderAgreementsToRepurchaseMember 2018-09-30 0001173431  
amtd:InvestmentsSegregatedForRegulatoryPurposesMember 2018-09-30 0001173431  
us-gaap:AccumulatedNetUnrealizedInvestmentGainLossMember 2019-03-31 0001173431  
us-gaap:AccumulatedNetUnrealizedInvestmentGainLossMember 2017-10-01 2018-03-31 0001173431  
us-gaap:AccumulatedNetUnrealizedInvestmentGainLossMember 2019-01-01 2019-03-31 0001173431  
us-gaap:AccumulatedNetUnrealizedInvestmentGainLossMember 2018-12-31 0001173431  
us-gaap:AccumulatedNetUnrealizedInvestmentGainLossMember 2018-01-01 2018-03-31 0001173431  
us-gaap:AccumulatedNetGainLossFromDesignatedOrQualifyingCashFlowHedgesMember 2017-10-01 2018-03-31  
0001173431 us-gaap:AccumulatedNetGainLossFromDesignatedOrQualifyingCashFlowHedgesMember 2018-03-31  
0001173431 us-gaap:AccumulatedNetUnrealizedInvestmentGainLossMember 2017-09-30 0001173431  
us-gaap:AccumulatedNetGainLossFromDesignatedOrQualifyingCashFlowHedgesMember 2018-01-01 2018-03-31  
0001173431 us-gaap:AccumulatedNetUnrealizedInvestmentGainLossMember 2018-10-01 2019-03-31 0001173431  
us-gaap:AccumulatedNetGainLossFromDesignatedOrQualifyingCashFlowHedgesMember 2018-12-31 0001173431  
us-gaap:AccumulatedNetGainLossFromDesignatedOrQualifyingCashFlowHedgesMember 2019-03-31 0001173431

Edgar Filing: TD AMERITRADE HOLDING CORP - Form 10-Q

us-gaap:AccumulatedNetGainLossFromDesignatedOrQualifyingCashFlowHedgesMember 2019-01-01 2019-03-31 0001173431 us-gaap:AccumulatedNetGainLossFromDesignatedOrQualifyingCashFlowHedgesMember 2018-10-01 2019-03-31 0001173431 us-gaap:AccumulatedNetGainLossFromDesignatedOrQualifyingCashFlowHedgesMember 2017-12-31 0001173431 us-gaap:AccumulatedNetUnrealizedInvestmentGainLossMember 2018-03-31 0001173431 us-gaap:AccumulatedNetGainLossFromDesignatedOrQualifyingCashFlowHedgesMember 2018-09-30 0001173431 us-gaap:AccumulatedNetGainLossFromDesignatedOrQualifyingCashFlowHedgesMember 2017-09-30 0001173431 us-gaap:AccumulatedNetUnrealizedInvestmentGainLossMember 2018-09-30 0001173431 us-gaap:AccumulatedNetUnrealizedInvestmentGainLossMember 2017-12-31 0001173431 amtd:OtherRevenuesMember amtd:OrderRoutingAgreementMember us-gaap:PrincipalOwnerMember 2018-01-01 2018-03-31 0001173431 amtd:VariousMember amtd:OtherRelatedPartiesMember us-gaap:PrincipalOwnerMember 2017-10-01 2018-03-31 0001173431 amtd:BankDepositAccountFeesMember amtd:InsuredDepositAccountAgreementMember us-gaap:PrincipalOwnerMember 2017-10-01 2018-03-31 0001173431 amtd:VariousMember amtd:OtherRelatedPartiesMember us-gaap:PrincipalOwnerMember 2019-01-01 2019-03-31 0001173431 us-gaap:PrincipalOwnerMember 2018-01-01 2018-03-31 0001173431 amtd:BankDepositAccountFeesMember amtd:InsuredDepositAccountAgreementMember us-gaap:PrincipalOwnerMember 2019-01-01 2019-03-31 0001173431 amtd:VariousMember amtd:OtherRelatedPartiesMember us-gaap:PrincipalOwnerMember 2018-01-01 2018-03-31 0001173431 amtd:VariousMember amtd:OtherRelatedPartiesMember us-gaap:PrincipalOwnerMember 2018-10-01 2019-03-31 0001173431 amtd:OtherRevenuesMember amtd:OrderRoutingAgreementMember us-gaap:PrincipalOwnerMember 2017-10-01 2018-03-31 0001173431 amtd:OtherRevenuesMember amtd:OrderRoutingAgreementMember us-gaap:PrincipalOwnerMember 2019-01-01 2019-03-31 0001173431 us-gaap:PrincipalOwnerMember 2017-10-01 2018-03-31 0001173431 us-gaap:PrincipalOwnerMember 2018-10-01 2019-03-31 0001173431 amtd:OtherRevenuesMember amtd:OrderRoutingAgreementMember us-gaap:PrincipalOwnerMember 2018-10-01 2019-03-31 0001173431 us-gaap:PrincipalOwnerMember 2019-01-01 2019-03-31 0001173431 amtd:BankDepositAccountFeesMember amtd:InsuredDepositAccountAgreementMember us-gaap:PrincipalOwnerMember 2018-01-01 2018-03-31 0001173431 amtd:BankDepositAccountFeesMember amtd:InsuredDepositAccountAgreementMember us-gaap:PrincipalOwnerMember 2018-10-01 2019-03-31 0001173431 us-gaap:PrincipalOwnerMember 2018-09-30 0001173431 us-gaap:PrincipalOwnerMember 2019-03-31 0001173431 amtd:NewFixedRateNotionalInvestmentsMember amtd:InsuredDepositAccountAgreementMember us-gaap:PrincipalOwnerMember 2018-10-01 2019-03-31 0001173431 amtd:ScottradeFinancialServicesInc.AcquisitionMember us-gaap:ManagementMember 2018-09-30 0001173431 amtd:InsuredDepositAccountAgreementMember us-gaap:PrincipalOwnerMember 2019-03-31 0001173431 amtd:DebtIssuanceCostsMember us-gaap:PrincipalOwnerMember 2018-10-01 2019-03-31 0001173431 amtd:InsuredDepositAccountAgreementFeeExampleMember us-gaap:PrincipalOwnerMember 2018-10-01 2019-03-31 0001173431 amtd:NewFixedRateNotionalInvestmentsMember amtd:InsuredDepositAccountAgreementMember us-gaap:PrincipalOwnerMember 2019-03-31 0001173431 amtd:InsuredDepositAccountAgreementMember us-gaap:PrincipalOwnerMember 2018-10-01 2019-03-31 0001173431 srt:MaximumMember amtd:InsuredDepositAccountAgreementMember us-gaap:PrincipalOwnerMember 2018-10-01 2019-03-31 0001173431 amtd:TDAmeritradeHoldingCorporationandTDAmeritradeClearingInc.Member amtd:SeniorRevolvingCreditFacilitiesMember 2019-03-31 0001173431 us-gaap:OtherExpenseMember amtd:OrderRoutingAgreementMember us-gaap:PrincipalOwnerMember 2019-01-01 2019-03-31 0001173431 us-gaap:OtherExpenseMember amtd:OrderRoutingAgreementMember us-gaap:PrincipalOwnerMember 2018-10-01 2019-03-31 0001173431 amtd:InsuredDepositAccountAgreementMember us-gaap:PrincipalOwnerMember 2018-07-01 2018-07-01 0001173431 srt:MinimumMember amtd:InsuredDepositAccountAgreementMember us-gaap:PrincipalOwnerMember 2018-10-01 2019-03-31 0001173431 amtd:TDAmeritradeHoldingCorporationandTDAmeritradeClearingInc.Member amtd:SeniorRevolvingCreditFacilitiesMember 2018-09-30 0001173431 amtd:InsuredDepositAccountAgreementMember us-gaap:PrincipalOwnerMember 2018-09-30 0001173431 amtd:AcceleratedStockRepurchaseAgreementOneMember 2018-09-01 2018-10-31 0001173431 amtd:AcceleratedStockRepurchaseAgreementTwoMember 2018-11-27 2018-11-27 0001173431 amtd:AcceleratedStockRepurchaseAgreementOneMember 2018-09-01 2018-09-30 0001173431

Edgar Filing: TD AMERITRADE HOLDING CORP - Form 10-Q

amtd:AcceleratedStockRepurchaseAgreementTwoMember 2018-11-30 2018-11-30 0001173431  
amtd:AcceleratedStockRepurchaseAgreementThreeMember 2019-03-26 2019-03-26 0001173431  
amtd:AcceleratedStockRepurchaseAgreementTwoMember 2018-11-30 0001173431  
amtd:AcceleratedStockRepurchaseAgreementOneMember 2018-09-30 0001173431  
amtd:AcceleratedStockRepurchaseAgreementThreeMember 2019-03-28 2019-03-28 0001173431  
amtd:AcceleratedStockRepurchaseAgreementTwoMember 2019-03-05 2019-03-05 0001173431  
amtd:AcceleratedStockRepurchaseAgreementTwoMember 2018-11-28 2019-03-05 0001173431  
srt:MaximumMember amtd:AcceleratedStockRepurchaseAgreementThreeMember us-gaap:ScenarioForecastMember  
2019-03-27 2019-09-25 0001173431 amtd:AcceleratedStockRepurchaseAgreementThreeMember 2019-03-28  
0001173431 amtd:CommissionsMember 2018-01-01 2018-03-31 0001173431 amtd:OrderRoutingRevenueMember  
2019-01-01 2019-03-31 0001173431 amtd:OtherTransactionBasedRevenuesMember 2018-01-01 2018-03-31  
0001173431 amtd:OrderRoutingRevenueMember 2018-01-01 2018-03-31 0001173431 amtd:CommissionsMember  
2019-01-01 2019-03-31 0001173431 amtd:OtherTransactionBasedRevenuesMember 2019-01-01 2019-03-31  
0001173431 amtd:InvestmentProgramFeesMember 2019-01-01 2019-03-31 0001173431  
amtd:OtherInvestmentProductFeesMember 2019-01-01 2019-03-31 0001173431  
amtd:MutualFundServiceFeesMember 2018-01-01 2018-03-31 0001173431 amtd:MutualFundServiceFeesMember  
2019-01-01 2019-03-31 0001173431 amtd:InvestmentProgramFeesMember 2018-01-01 2018-03-31