#### FLUIDIGM CORP

Form 4

February 23, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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See Instruction

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Yow Mai Chan

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(City)

(First) (Middle)

(Zip)

FLUIDIGM CORP [FLDM] 3. Date of Earliest Transaction

(Check all applicable) Director

10% Owner

FLUIDIGM CORPORATION, 7000

(Month/Day/Year)

X\_ Officer (give title

Other (specify

SHORELINE COURT, SUITE 100

(Street)

(State)

02/22/2016

below)

See Remarks

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**SOUTH SAN** FRANCISCO, CA 94080

|                 |                     | Table 1-1001-Delivative Securities Acquired, Disposed of, of Deficitionally Owned |                            |                     |     |                |                  |              |              |
|-----------------|---------------------|---|----------------------------|---------------------|-----|----------------|------------------|--------------|--------------|
| 1.Title of      | 2. Transaction Date | . Transaction Date 2A. Deemed 3. 4. Securities                                    |                            |                     |     |                | 5. Amount of     | 6. Ownership | 7. Nature of |
| Security        | (Month/Day/Year)    | Execution Date, if  | TransactionAcquired (A) or |                     |     | or             | Securities       | Form: Direct | Indirect     |
| (Instr. 3)      |                     | any   | Code                       | Disposed of (D)     |     |                | Beneficially     | (D) or       | Beneficial   |
|                 |                     | (Month/Day/Year)  | (Instr. 8)                 | (Instr. 3, 4 and 5) |     | Owned          | Indirect (I)     | Ownership    |              |
|                 |                     | •   |                            |                     |     | Following      | (Instr. 4)       | (Instr. 4)   |              |
|                 |                     |   |                            |                     | (4) |                | Reported         |              |              |
|                 |                     |   |                            | (A)                 |     | Transaction(s) |                  |              |              |
|                 |                     |   |                            |                     | or  |                | (Instr. 3 and 4) |              |              |
|                 |                     |   | Code V                     | Amount              | (D) | Price          | · ·              |              |              |
| Common<br>Stock | 02/22/2016          |   | M                          | 350                 | A   | (1)            | 4,540            | D            |              |
| Common<br>Stock | 02/22/2016          |   | M                          | 488                 | A   | <u>(1)</u>     | 5,028            | D            |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| De<br>Se | Title of crivative curity astr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. Price<br>Derivat<br>Securit<br>(Instr. 5 |
|----------|------------------------------------|---|---|---|--|---|-----|--|--------------------|---|--|---|
|          |                                    |   |   |   | Code V                                 | (A)   | (D) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |
| St       | estricted<br>ock<br>nits           | (1)   | 02/22/2016                              |   | M                                      |   | 350 | (2)  | (2)                | Common<br>Stock   | 350                                    | \$ 0  |
| St       | estricted<br>ock<br>nits           | (1)   | 02/22/2016                              |   | M                                      |   | 488 | (3)  | (3)                | Common<br>Stock   | 488                                    | \$ 0  |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Yow Mai Chan FLUIDIGM CORPORATION 7000 SHORELINE COURT, SUITE 100 SOUTH SAN FRANCISCO, CA 94080

See Remarks

# **Signatures**

/s/ William M. Smith, attorney-in-fact 02/23/2016

Date

\*\*Signature of Reporting Person

#### eporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents the contingent right to receive one share of FLDM common stock upon vesting of the unit.
- On March 14, 2014, the Reporting Person was granted 5,600 Restricted Stock Units of which 4/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2014, and 3/48th of the total number of shares underlying the Restricted Stock Units granted will vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider as defined in the Company's 2011 Equity Incentive Plan through the applicable vest date.
- (3) On March 12, 2015, the Reporting Person was granted 7,800 Restricted Stock Units of which 4/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2015, and 3/48th of the total number of shares underlying the Restricted Stock Units granted will vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider

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as defined in the Company's 2011 Equity Incentive Plan through the applicable vest date.

#### **Remarks:**

#### EXECUTIVE VP, WORLDWIDE MANUFACTURING & MANAGING DIRECTOR - SINGAPORE

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