CME GROUP INC. Form 4

October 04, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common Stock

Class A

10/03/2016

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** Tobin Jack J | | S | 2. Issuer Name and Ticker or Trading Symbol CME GROUP INC. [CME] | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | |
|---|---|--|---|---|--------------------|--|---|---|
| (Last) | (First) (N | Middle) 3. | B. Date of Earliest Tra | ansaction | | | | , |
| 20 S. WACKER DRIVE | | | (Month/Day/Year) 10/03/2016 | | | DirectorX Officer (give below) MD Chief | | Owner r (specify ficer |
| (Street) | | | I. If Amendment, Da | te Original | | 6. Individual or Joint/Group Filing(Check | | |
| CHICAGO | | | Filed(Month/Day/Year) | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | |
| (City) | (State) | (Zip) | Table I - Non-D | erivative Sec | urities Acqu | uired, Disposed of | , or Beneficiall | y Owned |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution D any (Month/Day | Date, if Transaction Code y/Year) (Instr. 8) | 4. Securities (A) or Dispos (Instr. 3, 4 and (A) or Amount (D) | sed of (D) d 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock Class A | 10/03/2016 | | M | 3,750 A | \$ 80.78 | 17,245 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

3.750 D

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

104.09 13,495

(1)

Edgar Filing: CME GROUP INC. - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number to for Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Ame Underlying Sect (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|---|---|--|--------------------|---|----------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Ai or Ni of Sh |
| Non-Qualified Stock Option (right to buy) | \$ 80.78 | 10/03/2016 | | M | 3,750 | 07/12/2007(2) | 01/02/2017 | Common Stock Class A | 3 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Tobin Jack J

20 S. WACKER DRIVE MD Chief Accounting Officer

CHICAGO, IL 60606

Signatures

By: Margaret Austin Wright For: Jack J.

Tobin

10/04/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was completed pursuant to the terms of a pre-arranged trading plan established in accordance with Rule 10b5-1.
- (2) As of 7/12/2007, this option vested with respect to 100% of the granted number of shares covered by the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2