#### Edgar Filing: FLAMM SCOTT - Form 3/A

FLAMM SCOTT Form 3/A December 13, 2005

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Advaxis, Inc. [ADXS] FLAMM SCOTT (Month/Day/Year) 12/31/2004 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O ADVAXIS, INC, 212 11/16/2004 (Check all applicable) **CARNEGIE CENTER SUITE** 206 10% Owner \_X\_ Director (Street) Officer \_ Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person PRINCETON. NJÂ 08540 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 125,772 D By Flamm Family Partners LP of Common Stock 2,585,094 I which the Reporting Person is the controlling person Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

information contained in this form are not required to respond unless the form displays a

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| 1. Title of Derivative<br>Security<br>(Instr. 4) | 2. Date Exercisal<br>Expiration Date<br>(Month/Day/Year) | ole and            | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                                  | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative                 | 6. Nature of Indirect<br>Beneficial<br>Ownership<br>(Instr. 5) |
|--|--|--------------------|--|----------------------------------|------------------------------------|---|--|
|  | Date Exercisable   | Expiration<br>Date | Title  | Amount or<br>Number of<br>Shares | Derivative<br>Security             | Security: Direct (D) or Indirect (I) (Instr. 5) |  |
| Warrant  | 11/12/2004(1)  | 11/12/2009         | Common<br>Stock  | 125,772                          | \$ 0.4                             | D   | Â  |
| Warrant  | 11/12/2004   | 12/31/2009         | Common<br>Stock  | 31,184                           | \$ 0.1952                          | D   | Â  |
| Warrant  | 11/12/2004   | 12/31/2009         | Common<br>Stock  | 8,910                            | \$ 0.1952                          | I   | By Flamm<br>Family Partners,<br>LP                             |
| Warrant  | 11/12/2004   | 12/31/2009         | Common<br>Stock  | 36,231                           | \$ 0.1952                          | I   | By Flamm<br>Family Partners,<br>LP                             |
| Options  | 05/29/2003(2)  | 05/29/2013         | Common<br>Stock  | 91,567                           | \$ 0.3549                          | D   | Â  |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |         |       |  |  |  |
|--|---------------|---------|-------|--|--|--|
| • 0  | 10% Owner     | Officer | Other |  |  |  |
| FLAMM SCOTT C/O ADVAXIS, INC 212 CARNEGIE CENTER SUITE 206 PRINCETON, NJ 08540 | Â             | Â       | Â     |  |  |  |

## **Signatures**

/s/ Scott Flamm 12/12/2005

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Not excercisable if Reporting Person's beneficial ownership would exceed 4.999% of the issued and outstanding Common Stock.
- (2) The options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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