

Jackson Alice K
Form 3
May 09, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Jackson Alice K
(Last) (First) (Middle)

414 NICOLLET MALL
(Street)

MINNEAPOLIS,Â MNÂ 55401
(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
05/07/2018

3. Issuer Name **and** Ticker or Trading Symbol
XCEL ENERGY INC [XEL]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
President, Public Service Comp

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

1,603

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

Date
Exercisable

Expiration
Date

Title

Amount or
Number of

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| | | | | Shares | | (I) (Instr. 5) | |
|------------------------|-------|-------|--------------|------------------|--------|-------------------|---|
| Restricted Stock Units | Â (1) | Â (1) | Common Stock | 1,637.676 (2) | \$ (2) | D | Â |
| Restricted Stock Units | Â (3) | Â (3) | Common Stock | 1,392.824 (2) | \$ (2) | D | Â |
| Restricted Stock Units | Â (4) | Â (4) | Common Stock | 1,181.046 (2) | \$ (2) | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---------------------------------------------------------------|---------------|-----------|----------------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Jackson Alice K 414 NICOLLET MALL MINNEAPOLIS, MN 55401 | Â | Â | Â President, Public Service Comp | Â |

Signatures

Kristin L. Westlund, Attorney in Fact for Alice K.
Jackson

05/09/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Units vest and are settled in shares of common stock or in the cash value thereof, or a combination of cash and shares, in the discretion of the Governance, Compensation and Nominating Committee upon certification of performance following the close of the performance period ending on Dec. 31, 2018.

(2) Award of restricted stock units, including a performance- based component. Amount reported represents the minimum number of shares that will be delivered upon the satisfaction of the vesting conditions.

Units vest and are settled in shares of common stock or in the cash value thereof, or a combination of cash and shares, in the discretion of the Governance, Compensation and Nominating Committee upon certification of performance following the close of the performance period ending on Dec. 31, 2019.

Units vest and are settled in shares of common stock or in the cash value thereof, or a combination of cash and shares, in the discretion of the Governance, Compensation and Nominating Committee upon certification of performance following the close of the performance period ending on Dec. 31, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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