Neuberger Berman High Yield Strategies Fund Inc.

Form 4/A April 04, 2016

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

response...

5. Relationship of Reporting Person(s) to

Issuer

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average burden hours per

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Neuberger Berman High Yield

Symbol

1(b).

(Print or Type Responses)

**OREILLY THOMAS P** 

1. Name and Address of Reporting Person \*

			Strategies Fund Inc. [NHS]					4	(Check all applicable)			
(Last) (First) (Middle)  C/O NEUBERGER BERMAN INVESTMENT ADVISERS, 605									Director 10% Owner Notice (give title Other (specify below) Portfolio Manager			
THIRD AV	VENUE											
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 11/19/2012 NEW YORK, NY 10158						A 	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									ly Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) Execution Eany (Month/Day		Date, if	3. Transact Code (Instr. 8)	tio <b>n</b> (	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/15/2012			P	3	3,120	A	\$ 13.0131	3,120	I (1)	By Thomas P. O'Reilly Rev Trust	
Common Stock	06/06/2013			P	1	10,000	A	\$ 13.3522	13,120	I (1)	By Thomas P. O'Reilly Rev Trust	

Common Stock	07/17/2013	P	8,000	A	\$ 13.0012	21,120	I (1)	By Thomas P. O'Reilly Rev Trust
Common Stock	09/19/2013	P	10,000	A	\$ 13.1101	31,120	I (1)	By Thomas P. O'Reilly Rev Trust
Common Stock	07/13/2015	P	4,502	A	\$ 11.574	35,622	I (1)	By Thomas P. O'Reilly Rev Trust
Common Stock	07/14/2015	P	5,000	A	\$ 11.5456	40,622	I (1)	By Thomas P. O'Reilly Rev Trust
Common Stock	10/07/2015	P	5,457	A	\$ 10.2956	46,079 <u>(2)</u>	I	By Thomas P. O'Reilly Rev Trust
Common Stock	10/08/2015	P	14,543	A	\$ 10.4203	60,622 (2)	I	By Thomas P. O'Reilly

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e	Securities	(Instr. 5)	Bene
	Derivative				Securities		(Instr. 3 and 4)		Owne
	Security				Acquired				Follo
					(A) or				Repo
					Disposed				Trans
					of (D)				(Instr
					(Instr. 3,				

#### Edgar Filing: Neuberger Berman High Yield Strategies Fund Inc. - Form 4/A

4, and 5)

Date Expiration Or Number Of Shares

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

OREILLY THOMAS P C/O NEUBERGER BERMAN INVESTMENT ADVISERS 605 THIRD AVENUE NEW YORK, NY 10158

Portfolio Manager

## **Signatures**

/s/ Thomas P. O'Reilly by his attorney-in-fact Jennifer R. Gonzalez

04/04/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Due to clerical error, the Form 4s filed for these transactions incorrectly reported direct beneficial ownership rather than indirect beneficial ownership through Thomas P. O'Reilly Revocable Trust.
- (2) Due to the clerical error referred to in footnote 1, the Form 4 filed for these transactions incorrectly reported the total number of securities indirectly beneficially owned following the reported transactions.

#### **Remarks:**

The Form 4 initially filed on November 19, 2012 was amended to correct the transaction date on November 30, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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