Brookdale Senior Living Inc.

Form 4 April 16, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB APPROVAL OMB** 

3235-0287 Number: January 31,

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Schulte Mark J |                                      |  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol Brookdale Senior Living Inc. [BKD] |                                       |                         |                               | ]                             | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)   |  |   |  |
|--|--------------------------------------|--|---|---------------------------------------|-------------------------|-------------------------------|-------------------------------|--|--|---|--|
| (Last) 515 NORT  |                                      |  | 3. Date of Earliest Transaction (Month/Day/Year) 04/15/2015                           |                                       |                         |                               | _X_ Director Officer (give ti | 10%  | Owner<br>r (specify                                      |   |  |
| (Street) CHICAGO, IL 60654                               |                                      |  | Filed(Month/Day/Year)   |                                       |                         |                               |                               | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |   |  |
| (City)   | (State)                              | (Zip)  | Tabl  | e I - Non-                            | Derivativ               | e Secu                        | rities Acqu                   | ired, Disposed of,   | or Beneficial  | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)                     | 2. Transaction Date (Month/Day/Year) | 2A. Deeme<br>Execution I<br>any<br>(Month/Da | Date, if  | 3.<br>Transacti<br>Code<br>(Instr. 8) | omr Dispo<br>(Instr. 3, | esed of<br>4 and<br>(A)<br>or | 5) Price                      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                             | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock  | 04/15/2015                           |  |   | S <u>(1)</u>                          | 4,500                   | D                             | \$<br>37.8567                 | 169,597  | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Brookdale Senior Living Inc. - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.          | 6. Date Exer | cisable and | 7. Title | and      | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------|-------------|--------------|-------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber    | Expiration D | ate         | Amour    | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code        | of          | (Month/Day/  | Year)       | Underl   | ying     | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative  | e            |             | Securit  | ies      | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |             | Securities  |              |             | (Instr.  | 3 and 4) |             | Own    |
|             | Security    |                     |                    |             | Acquired    |              |             |          |          |             | Follo  |
|             | ·           |                     |                    |             | (A) or      |              |             |          |          |             | Repo   |
|             |             |                     |                    |             | Disposed    |              |             |          |          |             | Trans  |
|             |             |                     |                    |             | of (D)      |              |             |          |          |             | (Instr |
|             |             |                     |                    |             | (Instr. 3,  |              |             |          |          |             |        |
|             |             |                     |                    |             | 4, and 5)   |              |             |          |          |             |        |
|             |             |                     |                    |             |             |              |             |          | A        |             |        |
|             |             |                     |                    |             |             |              |             |          | Amount   |             |        |
|             |             |                     |                    |             |             | Date         | Expiration  |          | or       |             |        |
|             |             |                     |                    |             |             | Exercisable  | Date        |          | Number   |             |        |
|             |             |                     |                    | ~           | <del></del> |              |             |          | of       |             |        |
|             |             |                     |                    | Code V      | (A) (D)     |              |             |          | Shares   |             |        |

### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| 1 8   | Director      | 10% Owner | Officer | Other |  |  |
| Schulte Mark J<br>515 NORTH STATE STREET<br>SUITE 1750<br>CHICAGO, IL 60654 | X             |           |         |       |  |  |

## **Signatures**

/s/ Chad C. White, By Power of Attorney 04/16/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan previously adopted by the reporting person.
- This transaction was executed in multiple trades at prices ranging from \$37.69 to \$38.36. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request by the staff of the Securities and Exchange Commission, the issuer or a security holder of the issuer full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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