

AGCO CORP /DE
Form 4
October 09, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
SRINIVASAN MALLIKA

(Last) (First) (Middle)

**TRACTORS & FARM
EQUIPMENT LTD OLD NO.
35, NEW NO. 77,
NUNGAMBAKKAM HIGH ROAD**

(Street)

CHENNAI, K7 600 034

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
AGCO CORP /DE [AGCO]

3. Date of Earliest Transaction
(Month/Day/Year)
10/08/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☐ Form filed by One Reporting Person
☒ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Common Stock	10/08/2014		P		126,742 <u>(1)</u>	A	\$ 42.31 <u>(2)</u>	7,211,719 <u>(3)</u>	I	By Tractors and Farm Equipment Limited
Common Stock	10/08/2014		P		300 <u>(1)</u>	A	\$ 42.92 <u>(4)</u>	7,212,019 <u>(3)</u>	I	By Tractors and Farm Equipment Limited
Common Stock								2,803,321 <u>(5)</u>	I	By TAFE Motors and

Tractors
LimitedCommon
Stock6,579 ⁽⁶⁾ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SRINIVASAN MALLIKA TRACTORS & FARM EQUIPMENT LTD OLD NO. 35 NEW NO. 77, NUNGAMBAKKAM HIGH ROAD CHENNAI, K7 600 034	X	X		
Tractors & Farm Equipment Ltd OLD NO. 35, NEW NO. 77 NUNGAMBAKKAM HIGH ROAD, POTTIPATTI PLAZA CHENNAI, K7 600034		X		
TAFE Motors & Tractors Ltd OLD NO. 35, NEW NO. 77 NUNGAMBAKKAM HIGH ROAD, POTTIPATTI PLAZA CHENNAI, K7 600034		X		

Signatures

/s/ Margaret R. Markman, Attorney-in-Fact for Tractors and Farm Equipment Limited

10/09/2014

 **Signature of Reporting Person

Date _____

/s/ Margaret R. Markman, Attorney-in-Fact for Mallika Srinivasan

10/09/2014

**Signature of Reporting Person

Date _____

/s/ Margaret R. Markman, Attorney-in-Fact for TAFE Motors and Tractors Limited

10/09/2014

**Signature of Reporting Person

Date _____

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares were purchased pursuant to a Rule 10b5-1 trading plan entered into by Tractors and Farm Equipment Limited ("TAFE") and TAFE Motors and Tractors Limited ("TMTL") on August 29, 2014.

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$41.87 to \$42.86. The reporting persons undertake to provide to AGCO Corporation, any security holder of AGCO Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote (2).

- (3) These shares are owned directly by TAFE. Mallika Srinivasan is an officer of TAFE and is a beneficial owner of certain equity securities of TAFE. Ms. Srinivasan disclaims beneficial ownership of the shares reported in Column 5 of the row except to the extent of Ms. Srinivasan's beneficial ownership of equity securities of TAFE, and this report shall not be deemed an admission that Ms. Srinivasan is the beneficial owner of such shares for purposes of Section 16 or for any other purpose. TMTL also disclaims beneficial ownership of the shares reported in Column 5 of the row, and this report shall not be deemed an admission that TMTL is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$42.89 to \$42.95. The reporting persons undertake to provide to AGCO Corporation, any security holder of AGCO Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote (4).

- (5) These shares are owned directly by TMTL, which is a wholly owned subsidiary of TAFE. TAFE is an indirect beneficial owner of these shares. Mallika Srinivasan is an officer of TMTL and is a beneficial owner of certain equity securities of TMTL. Ms. Srinivasan disclaims beneficial ownership of the shares reported in Column 5 of this row except to the extent of Ms. Srinivasan's beneficial ownership of equity securities of TMTL, and this report shall not be deemed an admission that Ms. Srinivasan is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.

These shares reflect awards to Mallika Srinivasan for her services as a director of AGCO Corporation under the AGCO Corporation 2006 Long-Term Stock Incentive Plan. TAFE and TMTL disclaim beneficial ownership of the shares reported in Column 5 of this row, and this report shall not be deemed an admission that either TAFE or TMTL is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.

- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.