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HERTZ GLOBAL HOLDINGS INC

Form 3/A January 03, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

0.5

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Trimm David

(Last)

INC., 225 BRAE **BOULEVARD**

(First)

HERTZ GLOBAL HOLDINGS,

(Street)

(Middle)

Statement

(Month/Day/Year)

11/01/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

HERTZ GLOBAL HOLDINGS INC [HTZ]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

11/07/2013

(Check all applicable)

Director

10% Owner

_X__ Officer Other (give title below) (specify below) **EVP & CIO**

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

PARK RIDGE, NJÂ 07656

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

3.

(I) (Instr. 5) 4. Nature of Indirect Beneficial Ownership (Instr. 5)

or Indirect

Â

Common Stock

5,909 (1)

SEC 1473 (7-02)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security**

4. Conversion or Exercise

6. Nature of Indirect 5. Beneficial Ownership Form of Ownership

(Instr. 5)

Price of Derivative Derivative Security:

(Instr. 4)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (Right to Purchase)	03/12/2014	03/12/2020	Common Stock	3,084	\$ 9.99	D	Â
Employee Stock Options (Right to Purchase)	03/01/2014	03/01/2021	Common Stock	1,978	\$ 14.6	D	Â
Employee Stock Options (Right to Purchase)	03/01/2015	03/01/2021	Common Stock	1,978	\$ 14.6	D	Â
Price Vesting Units	(2)(3)	(2)(3)	Common Stock	5,669	\$ (2) (3)	D	Â
Performance Stock Units	(4)	(4)	Common Stock	6,612	\$ <u>(4)</u>	D	Â
Performance Stock Units	(5)	(5)	Common Stock	2,833	\$ <u>(5)</u>	D	Â
Employee Stock Options (Right to Purchase)	11/01/2013	05/05/2016	Common Stock	8,000	\$ 4.56	D	Â
Employee Stock Options (Right to Purchase)	11/01/2013	05/18/2016	Common Stock	26,000	\$ 4.56	D	Â
Employee Stock Options (Right to Purchase)	11/01/2013	03/12/2020	Common Stock	9,252	\$ 9.99	D	Â
Employee Stock Options (Right to Purchase)	11/01/2013	03/01/2021	Common Stock	3,955	\$ 14.6	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Othe	
Trimm David					
HERTZ GLOBAL HOLDINGS, INC.	â	â	EVP & CIO	â	
225 BRAE BOULEVARD	А	А	AEVP&CIO	A	
PARK RIDGE, NJ 07656					

Signatures

William Langston, By Power of Attorney on behalf of David
Trimm

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes (i) 1,615 restricted stock units, each representing a contingent right to receive one share of HTZ Common Stock, which will vest on March 1, 2014, and (ii) 4,294 restricted stock units, each representing a contingent right to receive one share of HTZ Common Stock,

Reporting Owners 2

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2,147 of which will vest on March 6, 2014 and 2,147 of which will vest on March 6, 2015.

Each Price Vesting Unit (PVU) represents a contingent right to receive 1 share of HTZ common stock. The PVUs vest in 2 equal tranches on the 3rd and 4th anniversaries of the grant date. The 1st tranche will vest on March 6, 2015, contingent upon (a) the recipient's continued employment and (b) the average of the closing price of HTZ shares on the 20 trading days ending on the vesting date being at least \$16.5899; if such 20 day average is less than \$16.5899 but more than \$14.4260, then a prorated portion of the tranche will vest; if such 20 day average is \$14.4260 or less, the tranche will be forfeited. The 2nd tranche will vest on March 6, 2016, contingent upon (a) the recipient's continued employment and (b) the average of the closing prices of HTZ shares on the 20 trading days ending on the vesting date being at least \$18.0325; if such 20 day average is less than \$18.0325 but more than \$14.4260, then a prorated portion of the tranche will vest; if such 20 day average is \$14.4260

- (3) or less, the tranche will be forfeited.
- Each Performance Stock Unit represents a contingent right to receive one share of HTZ common stock. The Performance Stock Units vest in three equal tranches, contingent upon (a) the recipient's continued employment and (b) EBITDA of the issuer during the 2013 and 2014 fiscal years. The each tranche consists of 1/3 of the grant and vest on February 28, 2014, February 28, 2015 and February 28, 2016, respectively.
- Each Performance Stock Unit represents a contingent right to receive one share of HTZ common stock. The Performance Stock Units vest in three equal tranches, contingent upon (a) the recipient's continued employment and (b) EBITDA margin of the issuer during the 2013 fiscal year. The each tranche consists of 1/3 of the grant and vest on February 28, 2014, February 28, 2015 and February 28, 2016, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.