

MILLER ALAN B
Form 4
March 04, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
MILLER ALAN B

2. Issuer Name **and** Ticker or Trading
Symbol
UNIVERSAL HEALTH SERVICES
INC [UHS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
UNIVERSAL HEALTH
SERVICES, INC., 367 SOUTH
GULPH ROAD

3. Date of Earliest Transaction
(Month/Day/Year)
02/28/2019

☐ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) Chairman and CEO

(Street)
KING OF PRUSSIA, PA 19406

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Class B Common Stock	02/28/2019		M		300,000	A	\$ 138.83	1,304,153	D
Class B Common Stock	02/28/2019		F		224,603	D	\$ 138.83	1,079,550	D
Class B Common Stock	03/01/2019		M		100,000	A	\$ 138.83	1,179,550	D

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Class B Common Stock	03/01/2019	F	74,868	D	\$ 138.83	1,104,682	D	
Class B Common Stock	03/01/2019	M	100,000	A	\$ 141.59	1,204,682	D	
Class B Common Stock	03/01/2019	F	74,237	D	\$ 141.59	1,130,445	D	
Class B Common Stock						51,933	I	AMK 2014 LLC as held by The Abby Miller King 2018 GRAT
Class B Common Stock						16,748	I	AMK 2014 LLC held by The Abby Danielle Miller 2002 Trust
Class B Common Stock						31,319	I	AMK 2014 LLC held by The Abby Miller King 2017 GRAT
Class B Common Stock						55,763	I	By The Abby Miller King 2011 Family Trust
Class B Common Stock						131,097	I	MDM 2014 LLC as held by The Marc Daniel Miller 2018 GRAT
Class B Common Stock						24,007	I	MDM 2014 LLC held by The Marc

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Class B Common Stock	44,896	I	Daniel Miller 2002 Trust
Class B Common Stock	51,933	I	MDM 2014 LLC held by The Marc Daniel Miller 2017 GRAT
Class B Common Stock	16,748	I	MS 2014 LLC as held by The Marni Spencer 2018 GRAT
Class B Common Stock	31,319	I	MS 2014 LLC held by The Marni Spencer 2002 Trust
Class B Common Stock	10,810	I	MS 2014 LLC held by The Marni Spencer 2017 GRAT
Class B Common Stock	59,900	I	The Alan and Jill Miller Foundation
Class B Common Stock	55,763	I	The Marc Daniel Miller 2011 Family Trust
Class B Common Stock			The Marni Spencer 2011 Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Option To Purchase Class B Common Stock	\$ 78.17	02/28/2019		M		300,000		<u>(1)</u>	03/25/2019	Class B Common Stock	300,000
Option To Purchase Class B Common Stock	\$ 78.17	03/01/2019		M		100,000		<u>(1)</u>	03/25/2019	Class B Common Stock	100,000
Option To Purchase Class B Common Stock	\$ 78.17	03/01/2019		M		100,000		<u>(1)</u>	03/25/2019	Class B Common Stock	100,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MILLER ALAN B UNIVERSAL HEALTH SERVICES, INC. 367 SOUTH GULPH ROAD KING OF PRUSSIA, PA 19406	X	X	Chairman and CEO	

Signatures

/s/ Alan B.
Miller

03/04/2019

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option vests ratably on each of 3/26/2015, 3/26/2016, 3/26/2017 and 3/26/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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