

Catlett Scott
Form 3
July 06, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Catlett Scott | | (Month/Day/Year) | YUM BRANDS INC [YUM] | |
| (Last) | (First) | (Middle) | 07/01/2018 | |
| 1441 GARDINER LANE | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| LOUISVILLE,Â KYÂ 40213 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | General Counsel and Corp. Sec. | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 1,506 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of | |

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| | | | | Shares | | (I) (Instr. 5) | |
|--------------------------|------------|------------|--------------|----------|----------|-------------------|---|
| Restricted Stock Units | 11/19/2018 | 11/19/2018 | Common Stock | 2,151.94 | \$ (1) | D | Â |
| Stock Appreciation Right | 02/06/2014 | 02/06/2023 | Common Stock | 2,393 | \$ 44.81 | D | Â |
| Stock Appreciation Right | 02/08/2013 | 02/08/2022 | Common Stock | 2,205 | \$ 45.88 | D | Â |
| Stock Appreciation Right | 02/05/2017 | 02/05/2026 | Common Stock | 7,567 | \$ 49.66 | D | Â |
| Stock Appreciation Right | 02/05/2015 | 02/05/2024 | Common Stock | 1,832 | \$ 50.22 | D | Â |
| Stock Appreciation Right | 02/05/2018 | 02/05/2024 | Common Stock | 3,393 | \$ 50.22 | D | Â |
| Stock Appreciation Right | 02/06/2016 | 06/19/2018 | Common Stock | 4,058 | \$ 52.64 | D | Â |
| Stock Appreciation Right | 02/10/2018 | 02/10/2027 | Common Stock | 12,354 | \$ 68 | D | Â |
| Stock Appreciation Right | 02/12/2019 | 02/12/2028 | Common Stock | 9,680 | \$ 78.07 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--|-------|
| | Director | 10% Owner | Officer | Other |
| Catlett Scott 1441 GARDINER LANE LOUISVILLE, KY 40213 | Â | Â | Â General Counsel and Corp. Sec. | Â |

Signatures

/s/ M. Gayle
Hobson, POA

07/06/2018

**Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) No conversion or exercise price. Shares distribute upon vest date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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