### Edgar Filing: Salehpour Ali - Form 4

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Form 4	1										
December 18	3 2017										
									OMB A	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB Number:	3235-0287	
Check thi if no long subject to Section 10	er <b>STATEM</b> 6.										
Form 4 or Form 5 obligatior may conti <i>See</i> Instru 1(b).	Filed purs sinue. Section 17(a	a) of the l	Public Ut		ling Com	pany	Act of	e Act of 1934, f 1935 or Section 40	response n	0.5	
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> Salehpour Ali			2. Issuer Name <b>and</b> Ticker or Trading Symbol APPLIED MATERIALS INC /DE					5. Relationship of Reporting Person(s) to Issuer			
		[AMAT]		RIALS	NC /	DE	(Check all applicable)				
(Last) (First) (Middle) C/O APPLIED MATERIALS, INC., P.O. BOX 58039, 3050			3. Date of Earliest Transaction (Month/Day/Year) 12/14/2017					Director       10% Owner         Officer (give title       Other (specify below)         below)       below)         Sr. VP, GM New Mrkts & Service			
	V, M/S 1268										
	(Street) 4. If Amene Filed(Month				-			<ul><li>6. Individual or Joint/Group Filing(Check</li><li>Applicable Line)</li><li>_X_ Form filed by One Reporting Person</li></ul>			
SANTA CL	ARA, CA 95054							Form filed by M Person	Iore than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any		3. Transactic Code (Instr. 8)	tion(A) or Disposed of Securities Form: Dir (D) Beneficially (D) or ) (Instr. 3, 4 and 5) Owned Indirect (I Following (Instr. 4) (A) Reported Transaction(s)		Indirect (I)				
Common Stock	12/14/2017			Code V A	Amount 66,103	or (D) A	Price \$ 0	(Instr. 3 and 4) 605,013	D		
Common Stock	12/14/2017			А	35,861 (2)	А	\$0	640,874	D		
Common Stock	12/14/2017			А	35,861 (3)	А	\$0	676,735 <u>(4)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not

(9-02)

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# required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Tit	le and	8. Price of	9. Nu
Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	unt of	Derivative	Deriv
or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secu
Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
Derivative				Securities			(Instr	. 3 and 4)		Owne
Security				Acquired						Follo
				(A) or						Repo
				Disposed						Trans
				of (D)						(Instr
				(Instr. 3,						
				4, and 5)						
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
	Conversion or Exercise Price of Derivative	Conversion (Month/Day/Year) or Exercise Price of Derivative	Conversion(Month/Day/Year)Execution Date, ifor ExerciseanyPrice of(Month/Day/Year)Derivative	Conversion (Month/Day/Year) Execution Date, if Transaction or Exercise any Code Price of (Month/Day/Year) (Instr. 8) Derivative Security	Conversion (Month/Day/Year) Execution Date, if or Exercise any Code of Price of (Month/Day/Year) (Instr. 8) Derivative Security Security Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Conversion (Month/Day/Year) Execution Date, if or Exercise any Code of (Month/Day/ Price of Code of (Month/Day/Year) (Instr. 8) Derivative Security Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Date Exercisable	Conversion (Month/Day/Year) Execution Date, if any Code of (Month/Day/Year) Expiration Date Price of (Month/Day/Year) (Month/Day/Year) (Instr. 8) Derivative Security Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Date Expiration Date Expiration	Conversion or Exercise(Month/Day/Year)Execution Date, if anyTransactionNumber CodeExpiration Date (Month/Day/Year)Amou Under SecuritiesPrice of Derivative(Month/Day/Year)(Instr. 8)Derivative SecuritiesSecurities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)Securities DateAmou Under ExercisableAmou Under DerivativeDate ExercisableExpirationTitle	Conversion or Exercise Price of Derivative Security(Month/Day/Year)Execution Date, if any (Month/Day/Year)TransactionNumber Code ofExpiration Date (Month/Day/Year)Amount of Underlying Securities (Instr. 8)Derivative Security(Month/Day/Year)(Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)Derivative SecuritiesSecurities (Instr. 3)Securities (Instr. 3)Securities 	Conversion or Exercise Price of Derivative Security(Month/Day/Year)Execution Date, if any (Month/Day/Year)TransactionNumber of of (Instr. 8)Expiration Date (Month/Day/Year)Amount of Underlying SecurityDerivative SecurityDerivative Security(Month/Day/Year)(Instr. 8)Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)Amount of Underlying Securities (Instr. 5)Derivative SecurityDerivative SecuritySecurities (Instr. 5)Securities (Instr. 5)Securities (Instr. 5)Securities (Instr. 5)Derivative SecuritySecurities (Instr. 3, 4, and 5)Securities (Instr. 3)Securities (Instr. 3)Securities (Instr. 3)Date SecuritiesExpiration DateMount (Instr. 3)Securities (Instr. 3)Securities (Instr. 3)Derivative SecuritiesSecurities (Instr. 3, 4, and 5)Securities (Instr. 3)Securities (Instr. 3)Securities (Instr. 3)DateExpiration (Instr. 3)Securities (Instr. 3)Securities (Instr. 3)Securities (Instr. 3)DateSecurities (Instr. 3)Securities (Instr. 3)Securities (Instr. 3)Securities (Instr. 3)DateSecurities (Instr. 3)Securities (Instr. 3)Securities (Instr. 3)Securities (Instr. 3)Securities (Instr. 3)Securities (Instr. 3)Securities (Instr. 3)Securities (Instr. 3)Securities (Instr. 3)Securities (Ins

# **Reporting Owners**

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Salehpour Ali C/O APPLIED MATERIALS, INC. P.O. BOX 58039, 3050 BOWERS AV, M/S SANTA CLARA, CA 95054	S 1268		Sr. VP, GM New Mrkts & Service					
Signatures								
/s/ Harpreet S. Bal, Attorney-in-Fact	2/18/2017							

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents performance shares acquired based on achievement of specified performance goals related to performance shares previously granted. This acquisition is exempt under Rule 16b-3. The performance shares will be converted on a one-for-one basis into shares of

(1) Applied Materials common stock upon vesting, which vesting is scheduled to occur as follows: 33,051 shares are scheduled to vest on December 19, 2017 and 16,526 shares are scheduled to vest on December 19 of each of 2018 and 2019 (all vesting is subject to continued employment through each applicable vest date).

Represents performance shares that will be converted on a one-for-one basis into shares of Applied Materials common stock upon vesting, which vesting is scheduled to occur on December 19, 2020, depending on the achievement of specified performance goals and

- (2) vesting, which vesting is scheduled to occur on December 19, 2020, depending on the achievement of spectrue performance goals and continued employment through the vest date. The number of shares shown is the target amount, and the actual number of shares that may vest ranges from 0% to 200% of the target amount, depending on the achievement of specified performance goals.
- (3) Represents restricted stock units that will be converted on a one-for-one basis into shares of Applied Materials, Inc. common stock upon vesting, which vesting is scheduled to occur in three equal annual installments beginning December 19, 2018, depending on the

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achievement of a specified performance goal and continued employment through each applicable vest date.

Number of shares includes 339,397 performance shares and restricted stock units previously reported that in the future will be converted on a one-for-one basis into shares of Applied Materials common stock upon vesting, which vesting is scheduled to occur as follows: (a) 176,505 performance shares are scheduled to vest in installments in December of 2017 through 2019, (b) 30,000 shares are scheduled to

(4) vest in October 2018, (c) 66,446 performance shares are scheduled to vest in December 2019, which number of shares is the target amount, and the actual number of shares that may vest ranges from 0% to 200% of the target amount, depending on achievement of specified performance goals, and (d) 66,446 restricted stock units are scheduled to vest in installments in December of 2017 through 2019 (all vesting is subject to continued employment through each applicable vest date).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.