#### Edgar Filing: EVERSOURCE ENERGY - Form 4

#### **EVERSOURCE ENERGY**

Form 4

February 27, 2017

| FO  | RM    | 4 |
|-----|-------|---|
| . • | ILIVI |   |

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Carmody Christine M

Symbol **EVERSOURCE ENERGY [ES]** 

(Check all applicable)

(Last)

\$5.00 par value

(First) (Middle) 3. Date of Earliest Transaction

below)

10% Owner

C/O EVERSOURCE ENERGY, 300

02/23/2017

X\_ Officer (give title Other (specify

(Month/Day/Year)

EVP - HR and IT

6. Individual or Joint/Group Filing(Check

**CADWELL DRIVE** 

4. If Amendment, Date Original

Applicable Line)

Director

(Street)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Filed(Month/Day/Year)

SPRINGFIELD, MA 01104

|  | ,                                       |   |   |  |        |             | Person   |  |          |
|--|---|---|---|--|--------|-------------|--|--|----------|
| (City)                                   | (State)                                 | (Zip) Table   | e I - Non-D                             | erivative                              | Secur  | rities Acq  | uired, Disposed o  | f, or Beneficial   | ly Owned |
| 1.Title of<br>Security<br>(Instr. 3)     | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or Di<br>(Instr. 3, | ispose | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |          |
| Common<br>Shares,<br>\$5.00 par<br>value | 02/23/2017                              |   | A                                       | 4,410<br>(1)                           | A      | \$ 0        | 69,419 <u>(2)</u>  | D  |          |
| Common<br>Shares,<br>\$5.00 par<br>value | 02/23/2017                              |   | F                                       | 1,432<br>(3)                           | D      | \$<br>56.15 | 67,987 <u>(2)</u>  | D  |          |
| Common Shares,                           |   |   |   |  |        |             | 7,783  | I  | 401k     |

### Edgar Filing: EVERSOURCE ENERGY - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. onNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | S                   | ate                | 7. Title and A Underlying S (Instr. 3 and | Securities                             | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|---|---|---|---|---------------------------------------|--|---------------------|--------------------|---|--|---|
|   |   |   |   | Code V                                | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title                                     | Amount<br>or<br>Number<br>of<br>Shares |   |
| Phantom<br>Shares                                   | <u>(4)</u>  |   |   |                                       |  | <u>(4)</u>          | <u>(4)</u>         | Common<br>Shares,<br>\$5.00 par<br>value  | 177 (4)                                |   |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |  |  |

Carmody Christine M C/O EVERSOURCE ENERGY 300 CADWELL DRIVE SPRINGFIELD, MA 01104

EVP - HR and IT

## **Signatures**

/s/ Kerry J. Tomasevich, attorney-in-fact for Ms.

Carmody

02/27/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Performance shares and dividend equivalent shares for the 2014-2016 Long-Term Incentive Program as determined on February 23, 2017.
- (2) Includes restricted share units and dividend equivalents thereon.

Reporting Owners 2

### Edgar Filing: EVERSOURCE ENERGY - Form 4

- (3) Shares withheld to satisfy tax withholding obligation.
- Reporting Person's deferred compensation under the Eversource Deferred Compensation Plan, a non-qualified deferred compensation plan, that is nominally invested as common shares. Each phantom share represents the right to receive one Eversource Energy common share upon a distribution event, following vesting. Additional phantom shares are issued upon the automatic reinvestment of dividend-equivalents exempt from line item reporting under SEC Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.