

BALL CORP
Form 4/A
December 22, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Bouts Erik

2. Issuer Name and Ticker or Trading Symbol
BALL CORP [BLL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

LEUTSCHENBACHSTRASSE
52, ZURICH,

3. Date of Earliest Transaction
(Month/Day/Year)
07/27/2016

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Sr. VP & COO Globl Mtl Bev Pkg

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)
11/09/2016

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

V8

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Price			
Common Stock					12,488	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Restricted Stock Units	(1)	07/27/2016		A(2)	14,894	(3) (3)	Common Stock	14,894
Stock Appreciation Rights (sars)	\$ 66.15					02/04/2016 02/04/2025	Common Stock	28,200
Stock Appreciation Rights (sars)	\$ 66.1					01/27/2017 01/27/2026	Common Stock	25,900

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bouts Erik LEUTSCHENBACHSTRASSE 52 ZURICH, V8			Sr. VP & COO Globl Mtl Bev Pkg	

Signatures

/s/ Charles E. Baker, attorney-in-fact for Mr. Bouts 12/22/2016

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock. Special Acquisition Restricted Stock Unit (RSU) awards were granted to certain employees on July 27, 2016, subsequent to the completion of the acquisition of Rexam PLC and the sale of the divestment business to Ardagh Group. Due to the complexity associated with the consolidation of the businesses and related financial information, the calculations for such awards were finalized on November 8, 2016.
- (2)
- (3) Restricted Stock Units awarded under the Ball Corporation Stock and Cash Incentive Plan.

Remarks:

Reporting person is no longer subject to Section 16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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