

COCA-COLA ENTERPRISES, INC.

Form 4

March 03, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Brock John

2. Issuer Name and Ticker or Trading
Symbol
COCA-COLA ENTERPRISES, INC.
[CCE]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

2500 WINDY RIDGE
PARKWAY, 14TH FLOOR

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/01/2016

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman and CEO

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

ATLANTA, GA 30339

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/01/2016		M	104,150	A \$ 14.19	653,415	D
Common Stock	03/01/2016		M	120,462	A \$ 14.94	773,877	D
Common Stock	03/01/2016		S ⁽¹⁾	224,612	D \$ 49.0132 ⁽²⁾	549,265	D
Common Stock	03/02/2016		M	75,329	A \$ 14.19	624,594	D
	03/02/2016		M	159,155	A \$ 14.94	783,749	D

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Common
Stock

Common Stock	03/02/2016	S ⁽¹⁾	234,484	D	\$ 48.4892 ⁽³⁾	549,265	D
Common Stock	03/03/2016	M	39,328	A	\$ 14.19	588,593	D
Common Stock	03/03/2016	M	92,355	A	\$ 14.94	680,948	D
Common Stock	03/03/2016	S ⁽¹⁾	131,683	D	\$ 48.4092 ⁽⁴⁾	549,265	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
2006 Special Stock Option Awards	\$ 14.19	03/01/2016		M ⁽⁵⁾		104,150		04/25/2007	04/25/2016	Common Stock	104,150
2006 Stock Option Awards	\$ 14.94	03/01/2016		M ⁽⁵⁾		120,462		08/03/2007	08/03/2016	Common Stock	120,462
2006 Special Stock Option Awards	\$ 14.19	03/02/2016		M ⁽⁵⁾		75,329		04/25/2007	04/25/2016	Common Stock	75,329
2006	\$ 14.94	03/02/2016		M ⁽⁵⁾		159,155		08/03/2007	08/03/2016	Common	159,155

Stock
Option
Awards

Stock

2006

Special

Stock \$ 14.19 03/03/2016

M⁽⁵⁾

39,328

04/25/2007 04/25/2016

Common
Stock

39,328

Option
Awards

2006

Stock \$ 14.94 03/03/2016

M⁽⁵⁾

92,355

08/03/2007 08/03/2016

Common
Stock

92,355

Option
Awards

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Brock John 2500 WINDY RIDGE PARKWAY 14TH FLOOR ATLANTA, GA 30339	X		Chairman and CEO	

Signatures

Suzanne N. Forlidas,
attorney-in-fact

03/03/2016

____Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares were sold pursuant to a 10b5-1 trading plan.

(2) The 224,612 shares were sold through separate trades, with the sale prices ranging from \$48.58 to 49.25, and a weighted average sales price of \$49.0132.

(3) The 234,484 shares were sold through separate trades, with the sale prices ranging from \$48.37 to \$48.73, and a weighted average sale price of \$48.4892.

(4) The 131,683 shares were sold through separate trades, with the sale prices ranging from \$48.25 to \$48.74, and a weighted average sale price of \$48.4092.

(5) These options were exercised pursuant to a 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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