## Edgar Filing: EVERSOURCE ENERGY - Form 4

### **EVERSOURCE ENERGY**

Form 4

January 20, 2016

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

burden hours per

**OMB APPROVAL** 

response...

Estimated average

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* GIFFORD CHARLES K

2. Issuer Name and Ticker or Trading Symbol

**EVERSOURCE ENERGY [ES]** 

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(City)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner \_X\_ Other (specify Officer (give title

(Check all applicable)

C/O EVERSOURCE ENERGY, 300 **CADWELL DRIVE** 

(Street)

(State)

01/15/2016

below) below) Trustee

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SPRINGFIELD, MA 01104

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4)

> Reported Transaction(s)

(Instr. 3 and 4) Price

Common

value

Shares. \$5.00 par

01/15/2016

Α

Code V Amount

17,578 (2)

(A)

or

(D)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer		7. Title and A		
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ransactionNumber Expiration Date		Underlying Securities		Derivative	
Security	or Exercise		any	Code	of	(Month/Day	/Year)	(Instr. 3 and	4)	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e				(Instr. 5)
	Derivative				Securities	3				
	Security				Acquired					
	•				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
						_			Amount	
						Date	Expiration	Title	or	
				G 1 T	, (A) (D)	Exercisable	Date		Number	
				Code V	(A) (D)				of Shares	
								Common		
DI										
Phantom Shares	<u>(3)</u>					(3)	<u>(3)</u>	Shares,	46,502	
								\$5.00 par		
								value		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
· · · · · · · · · · · · · · · · · · ·	Director 10% Owner Office		Officer	Other			
GIFFORD CHARLES K							
C/O EVERSOURCE ENERGY				Trustee			
300 CADWELL DRIVE				Trustee			
SPRINGFIELD, MA 01104							

# **Signatures**

/s/ Kerry J. Tomasevich, attorney-in-fact for Mr. 01/20/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted share units that vested on January 19, 2016, receipt of the underlying shares has been deferred. Distribution will be made on a one-for-one basis in common shares on the 10th business day of January of the year following the reporting person's retirement from the Board.
- (2) Includes restricted share units and dividend equivalents thereon.
  - Reporting Person's deferred compensation under the Eversource Deferred Compensation Plan, formerly the Northeast Utilities Deferred Compensation Plan for Trustees, a non-qualified plan. These shares are nominally invested as common shares. Each phantom share
- (3) represents the right to receive the cash value of one common share upon a distribution event, following vesting. Additional phantom shares are issued upon the automatic reinvestment of dividend-equivalents and are exempt from the line item reporting under SEC rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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