### Edgar Filing: LaVecchia Jean M - Form 4

LaVecchia J	ean M										
Form 4											
April 04, 201											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										PROVAL	
Washington, D.C. 20549									OMB Number:	3235-0287	
	Check this box if no longer								Expires:	January 31, 2005	
subject to STATEMENT OF CHANG					BENEF	ICIA	LOW	NERSHIP OF	Estimated a burden hour response	average urs per	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type I	Responses)										
LaVecchia Jean M Symbol				er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (I	Middle)		1EAST U		2 [N	UJ	(Check all applicable)			
(Month/D C/O NORTHEAST UTILITIES, 107 04/02/20 SELDEN STREET				Day/Year)				Director 10% Owner X Officer (give title Other (specify below) below) VP-Human Resources			
(Street) 4. If Ame			endment, Date Original			6. Individual or Joint/Group Filing(Check					
				nth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	any			(A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code V	Amount	(D)	Price	(,			
Shares, \$5.00 par value	04/02/2012			F	8 (1)	D	\$ 37.21	29,921 <u>(2)</u>	D		
Common Shares, \$5.00 par value								2,862 ( <u>3)</u>	I	401k Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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# required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price of Derivative Security (Instr. 5)
			Code V	′ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Shares	<u>(4)</u>				<u>(4)</u>	<u>(4)</u>	Common Shares, \$5.00 par value	582 <u>(4)</u>	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
LaVecchia Jean M C/O NORTHEAST UTILITIES 107 SELDEN STREET BERLIN, CT 06037			VP-Human Resources					
Signatures								
/s/ Jeffrey C. Miller, authorized s LaVecchia	04/04/2012							
<u>**</u> Signature of Reportin	ng Person		Date					
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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Tax withholding with regard to shares issued in respect of dividend equivalents to which the reporting person became entitled on March
   (1) 1, 2012. The dividend equivalents related to Performance Units that were paid out in shares of issuer common stock on February 16, 2012. Shares in respect of the dividend equivalents were paid out thereafter.
- (2) Includes restricted share units and reinvested dividend equivalents thereon and shares issued in respect of the dividend equivalents described in note 1.

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(3) Shares held in trust under the Northeast Utilities Service Company 401k Plan, a qualified plan, according to information supplied by the plan's recordkeeper.

Matching contributions on reporting person's deferred compensation under the Northeast Utilities Deferred Compensation Plan for Executives, a non-qualified deferred compensation plan, that are nominally invested as common shares. Each phantom share represents

(4) Executives, a non-qualified deterred compensation plan, that are nominally invested as common shares. Each phantom share represents the right to receive the cash value of one NU common share upon a distribution event, following vesting. Additional phantom shares are issued upon the automatic reinvestment of dividend-equivalents exempt from the line item reporting under SEC Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.