TAPLIN DAVID F Form 4

March 12, 2012

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* TAPLIN DAVID F

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Dir. and Member of a Group

below)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

NACCO INDUSTRIES INC [NC]

(Middle)

(Last) (First) 3. Date of Earliest Transaction

(Month/Day/Year)

03/09/2012

\_X\_\_ Director 10% Owner Officer (give title \_\_X\_ Other (specify

NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE. 300

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

#### MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi corr Dispo (Instr. 3,	sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	03/09/2012		S	200	D	\$ 99.63	21,800	I	Trust (MET) (1)
Class A Common Stock	03/09/2012		S	200	D	\$ 99.85	21,600	I	Trust (MET) (1)
Class A Common Stock	03/09/2012		S	200	D	\$ 99.93	21,400	I	Trust (MET) (1)
Class A	03/09/2012		S	15	D	\$ 99.77	21,385	I	Trust

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Common Stock								(MET) (1)
Class A Common Stock	03/09/2012	S	73	D	\$ 99.53	21,312	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	100	D	\$ 99.66	21,212	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	100	D	\$ 99.71	21,112	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	100	D	\$ 100.28	21,012	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	100	D	\$ 100.31	20,912	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	11	D	\$ 101.01	20,901	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	200	D	\$ 100.95	20,701	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	400	D	\$ 102.08	20,301	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	100	D	\$ 102.055	20,201	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	100	D	\$ 101.99	20,101	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	100	D	\$ 101.775	20,001	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	300	D	\$ 101.72	19,701	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	500	D	\$ 101.795	19,201	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	1	D	\$ 101.76	19,200	I	Trust (MET) (1)

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Class A Common Stock	03/09/2012	S	300	D	\$ 101.8	18,900	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	200	D	\$ 101.835	18,700	I	Trust (MET) (1)
Class A Common Stock	03/09/2012	S	300	D	\$ 101.77	18,400	I	Trust (MET) (1)
Class A Common Stock						14,224	D	
Class A Common Stock						100	I	Trust2 (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	\$ 0 (3)				<u>(3)</u>	(3)	Class A Common Stock	15,883	

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Reporting Owners 3

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TAPLIN DAVID F
NACCO INDUSTRIES, INC.
5875 LANDERBROOK DRIVE, STE. 300
MAYFIELD HEIGHTS, OH 44124

Dir. and Member of a Group

# **Signatures**

/s/Suzanne S. Taylor, attorney-in-fact

03/12/2012

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person serves as co-trustee with his step-sister, of a revocable trust held for the benefit of Reporting Person's step-mother. Reporting Person disclaims beneficial ownership of all such shares
- (2) Shares held in a trust. Ngaio T. Lowry Trust U/A Dtd 08/26/1998 FBO David F. Taplin.
- (3) N/A

#### **Remarks:**

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4