

MINDSPEED TECHNOLOGIES, INC

Form 4

March 01, 2012

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HALIM RAOUF Y

2. Issuer Name and Ticker or Trading Symbol  
MINDSPEED TECHNOLOGIES, INC [MSPD]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
4000 MACARTHUR BLVD., EAST TOWER

3. Date of Earliest Transaction (Month/Day/Year)  
02/28/2012

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chief Executive Officer

NEWPORT BEACH, CA 92660

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	02/28/2012		M		10,000 A \$ 2.12	716,076	D
Common Stock	02/28/2012		S		10,000 D \$ 6.627 (1)	706,076	D
Common Stock	02/28/2012		M		5,000 A \$ 2.12	711,076	D
Common Stock	02/28/2012		S		5,000 D \$ 6.752 (2)	706,076	D
Common Stock	02/28/2012		M		5,000 A \$ 2.12	711,076	D

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Common Stock	02/28/2012	S	5,000	D	\$ 6.83	706,076	D	
Common Stock	02/28/2012	M	3,300	A	\$ 2.12	709,376	D	
Common Stock	02/28/2012	S	3,300	D	\$ 6.8	706,076	D	
Common Stock	02/28/2012	M	1,700	A	\$ 2.12	707,776	D	
Common Stock	02/28/2012	S	1,700	D	\$ 6.7515 (3)	706,076	D	
Common Stock	02/28/2012	M	2,600	A	\$ 2.12	708,676	D	
Common Stock	02/28/2012	S	2,600	D	\$ 6.7389 (4)	706,076	D	
Common Stock	02/28/2012	M	2,400	A	\$ 2.12	708,476	D	
Common Stock	02/28/2012	S	2,400	D	\$ 6.724 (5)	706,076	D	
Common Stock						26,169	I	By Savings Plan - MSPD (6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
	\$ 2.12	02/28/2012		M	10,000	04/30/2010	04/30/2017		10,000

Stock Option (Right to Buy)								Common Stock	
Stock Option (Right to Buy)	\$ 2.12	02/28/2012	M	5,000	04/30/2010	04/30/2017	Common Stock	5,000	
Stock Option (Right to Buy)	\$ 2.12	02/28/2012	M	5,000	04/30/2010	04/30/2017	Common Stock	5,000	
Stock Option (Right to Buy)	\$ 2.12	02/28/2012	M	3,300	04/30/2010	04/30/2017	Common Stock	3,300	
Stock Option (Right to Buy)	\$ 2.12	02/28/2012	M	1,700	04/30/2010	04/30/2017	Common Stock	1,700	
Stock Option (Right to Buy)	\$ 2.12	02/28/2012	M	2,600	04/30/2010	04/30/2017	Common Stock	2,600	
Stock Option (Right to Buy)	\$ 2.12	02/28/2012	M	2,400	04/30/2010	04/30/2017	Common Stock	2,400	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HALIM RAOUF Y 4000 MACARTHUR BLVD., EAST TOWER NEWPORT BEACH, CA 92660	X		Chief Executive Officer	

## Signatures

/s/ Ambra R. Roth,  
Attorney-in-fact

03/01/2012

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price in Column 4 is a weighted average price. The prices actually received ranged from \$6.61 to \$6.66. The reporting person will provide to the Company, any security holder of the Company, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

(2) The price in Column 4 is a weighted average price. The prices actually received ranged from \$6.75 to \$6.76. The reporting person will provide to the Company, any security holder of the Company, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

(3) The price in Column 4 is a weighted average price. The prices actually received ranged from \$6.750 to \$6.765. The reporting person will provide to the Company, any security holder of the Company, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

(4) The price in Column 4 is a weighted average price. The prices actually received ranged from \$6.73 to \$6.75. The reporting person will provide to the Company, any security holder of the Company, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

(5) The price in Column 4 is a weighted average price. The prices actually received ranged from \$6.70 to \$6.73. The reporting person will provide to the Company, any security holder of the Company, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

(6) Shares represented by Company stock fund units under the Mindspeed Technologies, Inc. Savings Plan which were acquired on a periodic basis pursuant to the Plan, based on the latest information furnished by the Plan Administrator. The shares represented by Company stock fund units under the Plan are held in the employee benefit plan trust established thereunder.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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