TAPLIN DAVID F Form 4

November 18, 2011

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* TAPLIN DAVID F

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

(First)

NACCO INDUSTRIES INC [NC]

11/14/2011

(Check all applicable)

Dir. and Member of a Group

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

\_X\_\_ Director Officer (give title \_\_X\_ Other (specify

10% Owner below)

NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE. 300

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tab	le I - No	n-D	erivative	Secur	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.	ransaction(A) or Disposed of (D) lode (Instr. 3, 4 and 5) instr. 8)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Common Stock	03/11/2011		Code	v V	Amount 125	(D)	Price	14,884	D	
Class A Common Stock	03/24/2011		G	V	5	D	\$ 0	14,879	D	
Class A Common Stock	03/24/2011		G	V	258	D	\$ 0	14,621	D	
Class A	11/14/2011		S		1,000	D	\$	61,000	I	Trust

### Edgar Filing: TAPLIN DAVID F - Form 4

Common Stock					82.438			(MET) (1)
Class A Common Stock	11/15/2011	S	1,000	D	\$ 82.411	60,000	I	Trust (MET) (1)
Class A Common Stock	11/16/2011	S	1,000	D	\$ 81.188	59,000	I	Trust (MET) (1)
Class A Common Stock						100	I	Trust2 (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

8. Price o Derivativ Security (Instr. 5)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exer		7. Title and A	
Derivative Security	Conversion or Exercise	(Month/Day/Year)	Execution Date, if	TransactionNumber Code of		Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)	
(Instr. 3)	Price of Derivative Security		any (Month/Day/Year)	(Instr. 8)		e `	16at)	(HISH), 3 and	+)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	\$ 0 (3)					<u>(3)</u>	(3)	Class A Common Stock	15,883

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
TAPLIN DAVID F NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 MAYFIELD HEIGHTS, OH 44124	X			Dir. and Member of a Group			

Reporting Owners 2 Edgar Filing: TAPLIN DAVID F - Form 4

## **Signatures**

/s/Suzanne S. Taylor, attorney-in-fact

11/18/2011

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person serves as co-trustee with his step-sister, of a revocable trust held for the benefit of Reporting Person's step-mother. Reporting Person disclaims beneficial ownership of all such shares
- (2) Shares held in a trust. Ngaio T. Lowry Trust U/A Dtd 08/26/1998 FBO David F. Taplin.
- (3) N/A

#### **Remarks:**

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3