TAPLIN BRITTON T

Form 4 July 01, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

Number:

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * TAPLIN BRITTON T

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

(Last)

(City)

(First) (Middle) NACCO INDUSTRIES INC [NC] 3. Date of Earliest Transaction

(Check all applicable)

NACCO INDUSTRIES, INC., 5875

LANDERBROOK DRIVE, STE.

(Month/Day/Year)

07/01/2011

_X__ Director Officer (give title

10% Owner __X__ Other (specify

below)

Dir. and Member of Group

(Street)

300

6. Individual or Joint/Group Filing(Check

Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

MAYFIELD HEIGHTS, OH 44124

(State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

Indirect

(A) or

Transaction(s) (Instr. 3 and 4)

Price (D)

Class A

Common 07/01/2011 Code V Amount

114

 $A^{(1)}$

\$0 A 35,832

D

Ι

By Spouse (2)

Class A

Stock

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed | | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) |
|---|---|---|---------------------------------------|--|---------------------|--------------------|---|------------------------------|---|
| | | | | of (D) (Instr. 3, 4, and 5) | | | | Amount | |
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | or Number of Shares | |
| Class B Common Stock | \$ 0 (3) | | | | (3) | (3) | Class A Common Stock | 0 | |

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

TAPLIN BRITTON T NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 MAYFIELD HEIGHTS, OH 44124

X

Dir. and Member of Group

Signatures

/s/Suzanne S. Taylor, attorney-in-fact for Mr.

Taplin 07/01/2011

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares of Class A Common Stock awarded to the Reporting Person as "Required Shares" under the company's Non-Employee **(1)** Directors' E quity Compensation Plan.
- **(2)** By Spouse. Reporting Person disclaims beneficial ownership of all such shares.
- **(3)** N/A

Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.