Edgar Filing: WACHOVIA CORP NEW - Form 4

| WACHOVIA | CORP NEW | | | | | | | | | | | |
|--|--|--------------------------|---|----------------------------------|-----------------------|---|-------------|---|---------------------|------------------------|--|--|
| Form 4 | | | | | | | | | | | | |
| December 18, | 2006 | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | | | | OMB APPROVAL | | |
| | UNITE | D STATES | | ITIES Al hington, 1 | | | IGE (| COMMISSION | OMB Number: | 3235-0287 | | |
| Check this | | | | | | | | | Expires: | January 31, | | |
| if no longe subject to | F CHAN | CHANGES IN BENEFICIAL OW | | | | NERSHIP OF | Estimated a | 2005 | | | | |
| Section 16 | | SECURITIES | | | | | | | burden hou | | | |
| Form 4 or | | | | | | | | | response | | | |
| obligations | Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | | | | | | |
| See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | | |
| See Instruc | tion | 30(h) | of the Inv | vestment (| Company | Act | of 19 | 40 | | | | |
| 1(b). | | | | | | | | | | | | |
| (Print or Type Re | esponses) | | | | | | | | | | | |
| 1. Name and Ad INGRAM RC | 2. Issuer Name and Ticker or Trading | | | | g | 5. Relationship of Reporting Person(s) to Issuer | | | | | | |
| | | | - | Symbol WACHOVIA CORP NEW [WB] | | | | | | | | |
| | | | | | 2] | (Check all applicable) | | | | | | |
| (Last) | (First) | (Middle) | | Earliest Tra | insaction | | | X Director | 100 | Owner | | |
| GLAXOSMITHKLINE, FIVE | | | (Month/Day/Year) 12/15/2006 | | | X_ Director 10% Owner Officer (give title Other (specify | | | | | | |
| MOORE DR | | 1,5 | 12/13/20 | /00 | | | | below) | below) | | | |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check | | | | |
| | | | | | | | | Applicable Line) | | | | |
| | Theu(Month/Day/Tear) | | | | | _X_ Form filed by One Reporting Person | | | | | | |
| RESEARCH | TRIANGLE | | | | | | | Form filed by I Person | More than One Re | eporting | | |
| PARK, NC 2 | 7709 | | | | | | | T erson | | | | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | ecurit | ies Ac | quired, Disposed o | f, or Beneficial | lly Owned | | |
| 1.Title of | 2. Transaction I | | | 3. | 4. Securit | | | 5. Amount of | 6. Ownership | | | |
| Security | (Month/Day/Ye | | on Date, if | | nAcquired Disposed | | | Securities Beneficially | Form: Direct (D) or | Indirect Beneficial | | |
| (Instr. 3) any (Month/D | | | CodeDisposed of (D)Day/Year)(Instr. 8)(Instr. 3, 4 and 5) | | | | Owned | Indirect (I) | Ownership | | | |
| | | ` | • | . , | . , | | · | Following | (Instr. 4) | (Instr. 4) | | |
| | | | | | | (A) | | Reported | | | | |
| | | | | | | or | | Transaction(s) (Instr. 3 and 4) | | | | |
| G | | | | Code V | Amount | (D) | Price | (insure und 1) | | | | |
| Common | | | | | | | | 4,400 | D | | | |
| Stock | | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) o Disposed of (D (Instr. 3, 4, and 5) | Expiration I (Month/Day | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|----------------------------|--|-----------------|---|--|
| | | | | Code V | (A) (A | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Phantom Stock Units <u>(1)</u> | \$ 0 | 12/15/2006 | | А | 345.3826 | (2) | (2) | Common Stock | 345.3826 | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | |
|--|------------|---------------|---------|-------|--|--|--|
| ForB o | Director | 10% Owner | Officer | Other | | | |
| INGRAM ROBERT ALEX GLAXOSMITHKLINE FIVE MOORE DRIVE RESEARCH TRIANGLE | Х | | | | | | |
| Signatures | | | | | | | |
| Robert A. Ingram | 12/18/2006 | | | | | | |
| <u>**</u> Signature of | Date | | | | | | |

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The phantom stock converts into common stock on a one-for-one basis.
- Phantom stock units awarded pursuant to the Wachovia director deferred stock unit plan. These units are settled following the director's (2)termination of the service as a member of the issuer's board of directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.