## Edgar Filing: SMITH LANTY L - Form 4/A

SMITH LANTY I

Form 4/A October 25, 20	006										
FORM	Λ								OMB APPROVAL		
-	UNITE	D STATES		ITIES Al hington, 1			NGE (	COMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or				CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					Expires:January 31, 2005Estimated averageburden hours per response0.5		
Form 5 obligations may contin <i>See</i> Instruc 1(b).	Section 1	7(a) of the		ility Hold	ing Com	pany	Act o	ge Act of 1934, f 1935 or Sectio 40	'n		
(Print or Type Re	esponses)										
1. Name and Address of Reporting Person <u>*</u> SMITH LANTY L			2. Issuer Name <b>and</b> Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer					
			WACHO	OVIA CO	RP NEW	/ [W]	B]	(Che	ck all applicable	<b>-</b> )	
(Last) SOLES BRO CO., WACH			3. Date of (Month/Da 10/02/20	-	insaction			X Director Officer (give below)	10%	6 Owner er (specify	
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year) 10/04/2006				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>				
GREENSBO	RO, NC 2740	)1-2167	10/0 1/20	,00				Form filed by Person	More than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)(Instr. 3)any (Month/Day/Year)		on Date, if	Date, if TransactionAcquired (A) or Code Disposed of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Common Stock				Code V	Amount		Price	(Instr. 3 and 4) 33,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: SMITH LANTY L - Form 4/A

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquire (A) or Disposed o (D) (Instr. 3, 4, and 5)	Expiration I ed (Month/Day f	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (A	Date Exercisable	Expiration Date	Title	Amount of Number of Shares	
Phantom Stock Units <u>(1)</u>	\$ 0	10/02/2006		А	1,281.8214 <u>(3)</u>	(2)	(2)	Common Stock	1,281.82	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1 9	Director	10% Owner	Officer	Other	
SMITH LANTY L SOLES BROWER SMITH AND CO. WACHOVIA TOWER GREENSBORO, NC 27401-2167	Х				
Signatures					

Lanty L. Smith	10/19/2006
<u>**</u> Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The phantom stock converts into common stock on a one-for-one basis.
- (2) Phantom stock units awarded pursuant to the Wachovia director deferred stock unit plan. These units are settled following the director's termination of service as a member of the issuer's board of directors.
- (3) Amendment based on a miscalculation of phantom stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.