Edgar Filing: Colony Capital, Inc. - Form 4

Colony Capi Form 4	ital, Inc.								
January 03, 2	2017								
FORM	14 UNITED	STATES SECU	DITIES A	ND FY(TUA	NCEC	OMMISSION		PROVAL
			ashington,			NGE C	UNINII55101	OMB Number:	3235-0287
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may com <i>See</i> Instr 1(b).	ger o 16. or Filed pur ^{nns} Section 17(a	suant to Section a) of the Public U	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940						January 31, 2005 werage rs per 0.5
(Print or Type]	Responses)								
1. Name and A Hedstrom N	Address of Reporting I Mark M.	Symbol	er Name and y Capital, I			ıg	5. Relationship of Issuer	Reporting Pers	on(s) to
(Last)	(First) (N	•	of Earliest Tr	-	•1]		(Check	c all applicable)
C/O COLO	NY CAPITAL, IN R ST., 44TH FLO	(Month/ NC., 515 12/30/2	Day/Year)	ansaction			Director X_Officer (give below) Executiv		Owner er (specify
LOS ANGE	(Street) ELES, CA 90071		endment, Da onth/Day/Year	-			6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M Person	ne Reporting Per	rson
(City)	(State)	(Zip) Tal	ole I - Non-F)erivative §	Securi	ities Aca	uired, Disposed of	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	. Transaction Date 2A. Deemed		4. Securit on(A) or Dis (Instr. 3, 4)	ies Ac sposed	equired l of (D)	5. Amount of	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect
Class A Common Stock	01/02/2017		Code V $A^{(1)}$	51,692	(D) A	\$ 0	202,580	D	
Class A Common Stock	01/02/2017		F <u>(2)</u>	11,717	D	\$ 20.25	190,863	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	tionDer Sec) Acc or I (D) (Ins	curities equired (A) Disposed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code V	V (A)	.) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
OP Units	<u>(5)</u>	12/30/2016		G <u>(3)</u> V	V	70,000	(5)	(5)	Class A Common Stock	70,000	9

Reporting Owners

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer	Other			
Hedstrom Mark M. C/O COLONY CAPITAL, INC. 515 S. FLOWER ST., 44TH FLOOR LOS ANGELES, CA 90071			Executive Director - COO				
Signatures							
/s/ David A. Palame, as Attorney-in-fact	01	/03/2017					

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The acquisition represents the receipt of Class A common stock granted by the Issuer for services provided by Mr. Hedstrom to the Issuer.
- (2) The shares were withheld by the Issuer in satisfaction of withholding taxes incurred in connection with the vesting of certain shares of Class A common stock acquired through prior grants.
- (3) In connection with a charitable donation of 70,000 OP Units owned indirectly by Mr. Hedstrom.
- These units are held by limited liability companies controlled by Thomas J. Barrack, Jr. The amount represents the portion of the units(4) allocated to the reporting person with respect to which the reporting person has the right to require from time to time the redemption thereof and distribution to the reporting person of the common shares or cash received upon such redemption.
- (5) The OP Units are redeemable for shares of Class A Common Stock or cash at the discretion of the Issuer. The OP Units do not have expiration dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.