SECURITY NATIONAL FINANCIAL CORP

Form 4 May 07, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

OMB APPROVAL

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Quist S. Andrew

2. Issuer Name and Ticker or Trading

Symbol

SECURITY NATIONAL FINANCIAL CORP [SNFCA] 5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

_X__ Director 10% Owner Other (specify X_ Officer (give title below)

VP; Assoc. Gen. Counsel

272 EAST BROADLEAF CIRCLE

(Street)

02/06/2015

(Month/Day/Year)

Filed(Month/Day/Year)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

DRAPER, UT 84020

	(City)	(State)	Zip) Table	e I - Noi	n-De	erivative S	Securi	ties Acq	uired, Disposed o	f, or Beneficial	ly Owned
Secu	str. 3) any		Execution Date, if	Transaction Code		4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	((
	ss A nmon ck	02/06/2015		J <u>(1)</u>	V	736	A	\$ 5.02	15,457 (2)	D	
Clas Con Stoo	nmon	04/07/2015		G	V	2,000	D	\$ 5.5	13,457 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securition
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Employee Stock Option (right to buy)	\$ 1.06 (3)	12/02/2011		A	18,233 (3)	03/02/2012	12/02/2021	Class A Common Stock	18,2
Employee Stock Option (right to buy)	\$ 1.34 (4)	04/13/2012		A	17,365 (4)	07/13/2012	04/13/2022	Class A Common Stock	17,3 (4)
Employee Stock Option (right to buy)	\$ 4.38 <u>(5)</u>	12/06/2013		A	11,025 (5)	03/06/2014	12/06/2023	Class A Common Stock	11,0
Employee Stock Option (right to buy)	\$ 4.08 (6)	07/02/2014		A	10,500 (6)	10/02/2014 <u>(6)</u>	07/02/2024	Class A Common Stock	10,5
Employee Stock Option (right to	\$ 4.77 <u>(7)</u>	12/05/2014		A	21,000 (7)	03/05/2015(7)	12/05/2024	Class A Common Stock	21,0 (7)

Reporting Owners

buy)

Reporting Owner Name / Address			Relationships		
Troporting O Wheel Premier Premieros	Director	10% Owner	Officer	Other	
Quist S. Andrew 272 EAST BROADLEAF CIRCLE DRAPER, UT 84020	X		VP; Assoc. Gen. Counsel		

Reporting Owners 2

Signatures

/s/ S. Andrew Ouist

05/05/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Received pursuant to a stock dividend paid on February 6, 2015.
- (2) Does not include 35,350 shares of Class A Common Stock owned indirectly by the reporting person in the 401(k) Retirement Savings Plan.
- This option was originally reported as an option for 15,000 shares of Class A Common Stock at an exercise price of \$1.30 per share, but (3) adjusted pursuant to the anti-dilution provisions of the 2003 Stock Option Plan to reflect 5% stock dividends paid on February 3, 2012, February 1, 2013, February 7, 2014 and February 6, 2015.
- This option was granted on April 14, 2012 as an option for 15,000 shares of Class A Common Stock at an exercise price of \$1.56 per (4) share, but adjusted pursuant to the anti-dilution provisions of the 2003 Stock Option Plan to reflect 5% stock dividends paid on February 1, 2013, February 7, 2014 and February 6, 2015.
- This option was granted on December 6, 2013 as an option for 10,000 shares of Class A Common Stock at an exercise price of \$4.85 per (5) share, but adjusted pursuant to the anti-dilution provisions of the 2003 Stock Option Plan to reflect 5% stock dividends paid on February 7, 2014 and February 6, 2015.
- This option was granted on July 2, 2014 as an option for 10,000 shares of Class A Common Stock at an exercise price of \$4.29 per share but adjusted pursuant to the anti-dilution provisions of the 2013 Stock Option Plan to reflect a 5% stock dividend paid on February 6, 2015. This option vests in four equal quarterly installments of Class A Common Stock, beginning on October 2, 2014, until such shares are fully vested.
- This option was granted on December 5, 2014 as an option for 20,000 shares of Class A Common Stock at an exercise price of \$5.02 per share, but adjusted pursuant to the anti-dilution provisions of the 2013 Stock Option Plan to reflect a 5% stock dividend paid on February 6, 2015. This option vests in four equal quarterly installments of Class A Common Stock, beginning on March 5, 2015, until such shares are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. NE-HEIGHT: 1.25; MARGIN-RIGHT: 0pt" align="left">

Date: July 12, 2007

By:

/s/ Brian Lin

Name: Brian Lin

Signatures 3

Title: Chief Executive Officer			

EXHIBIT INDEX

Exhibit	Description
---------	-------------

99.1 Copy of News Release, dated July 12, 2007.

ing-top:2px;padding-bottom:2px;padding-right:2px;">

99

Hyster-Yale Materials Handling, Inc. third quarter ended September 30, 2013 earnings release, dated October 30, 2013.