CARPENTER TECHNOLOGY CORP
Form 8-K
April 26, 2019

# UNITED STATES

# SECURITIES AND EXCHANGE COMMISSION

**Washington, D.C. 20549**

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# Form 8-K

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**CURRENT REPORT**

**PURSUANT TO SECTION 13 OR 15(d) OF**

**THE SECURITIES EXCHANGE ACT OF 1934**

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Date of Report: **April 25, 2019**

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# CARPENTER TECHNOLOGY CORPORATION

(Exact name of registrant as specified in its charter)

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| **Delaware** | **1-5828** | **23-0458500** |
|---|---|---|
| (State of or other jurisdiction of incorporation) | (Commission File Number) | (IRS Employer I.D. No.) |

**19103**

**1735 Market Street**
**Philadelphia, Pennsylvania**
(Address of principal executive offices)

(Zip Code)

**(610) 208-2000**

Registrant s telephone number, including area code

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b.2 of this chapter).

Emerging growth company o

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. o

**Item 2.02 - Results of Operations and Financial Condition.**

On April 25, 2019, Carpenter Technology Corporation issued a press release announcing fiscal 2019 third quarter results for the period ended March 31, 2019. A copy of the press release is furnished as Exhibit 99.1 to this Form 8-K and shall not be deemed to be filed for any purpose.

**Item 9.01 - Financial Statements and Exhibits**

(d) Exhibits

| Exhibit No. | Description |
|---|---|
| 99.1 | Press Release regarding earnings, dated April 25, 2019 |

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CARPENTER TECHNOLOGY CORPORATION

By /s/ Timothy Lain
Timothy Lain
Vice President and Chief Financial Officer

Date: April 26, 2019