Kaseta Michael Form 3 December 04, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Aralez Pharmaceuticals Inc. [ARLZ] A Kaseta Michael (Month/Day/Year) 11/30/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O ARALEZ (Check all applicable) **PHARMACEUTICALS** INC.. 7100 WEST CREDIT 10% Owner Director **AVENUE, SUITE 101** \_X\_\_ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group Interim CFO Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person MISSISSAUGA, A6Â L5N Form filed by More than One 0E4 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Common Shares, without par value

SEC 1473 (7-02)

D

Â

(Instr. 5)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

 $2,500^{(1)}$ 

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(2)	11/11/2026	Common Stock	37,500	\$ 4.9	D	Â
Stock Option (Right to Buy)	(3)	03/15/2027	Common Stock	5,000	\$ 2.37	D	Â
Stock Option (Right to Buy)	(4)	05/11/2027	Common Stock	25,000	\$ 1.56	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
reporting o meet runner, runners	Director	10% Owner	Officer	Other	
Kaseta Michael C/O ARALEZ PHARMACEUTICALS INC. 7100 WEST CREDIT AVENUE, SUITE 101 MISSISSAUGA, A6 L5N 0E4	Â	Â	Interim CFO	Â	

## **Signatures**

/s/ Michael Kaseta	12/04/2017		
**Signature of Reporting Person	Date		

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Consists of 2,500 Restricted Stock Units granted pursuant to the Aralez Pharmaceuticals Inc. 2016 Amended and Restated Long-Term

  (1) Incentive Plan, which vest in substantially equal annual installments over the three years immediately following the date of grant (March 15, 2017), subject to continued employment or service to the Company.
- (2) The option vests in substantially equal monthly installments over four years from date of grant (November 11, 2016), subject to continued employment or service to the Company.
- (3) The option vests in substantially equal monthly installments over four years from date of grant (March 15, 2017), subject to continued employment or service to the Company.
- (4) The option vests as to 25% of the shares on May 11, 2018, and the remainder vests in substantially equal monthly installments over the 36 months immediately following such date, subject to continued employment or service to the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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