Edgar Filing: TORTOISE ENERGY INFRASTRUCTURE CORP - Form 4

TORTOISE ENERGY INFRASTRUCTURE CORP

Form 4

October 10, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

3235-0287 Number:

OMB APPROVAL

January 31, Expires: 2005

Estimated average burden hours per 0.5 response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Athene Holding Ltd			2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer				
	TORTOISE ENERGY INFRASTRUCTURE CORP		TORTOISE ENERGY INFRASTRUCTURE CORP [TYG]	(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	DirectorX10% Owner Officer (give title Other (specify				
96 PITTS BAY ROAD			06/23/2014	below) below)				
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check				
			Filed(Month/Day/Year)	Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting				
PEMBROKE, D0 HM08				Person				
(City)	(State)	(Zin)						

(City)	(State) (Zi	p) Table l	- Non-Dei	rivative Secu	ırities	Acquii	ed, Disposed of,	or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securition(A) or Disp (Instr. 3, 4	posed o	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Series C Mandatory Redeemable Preferred Stock	06/23/2014		J <u>(1)</u>	250,000	A	\$ 0 (1)	250,000	I	See footnote (1)
Series D Mandatory Redeemable Preferred Stock	10/09/2014		P	480,000	A	\$ 10	480,000	I	See footnote (1)
Series E Mandatory	10/09/2014		P	280,000	A	\$ 10	280,000	I	See footnote

Edgar Filing: TORTOISE ENERGY INFRASTRUCTURE CORP - Form 4

Redeemable Preferred (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		5. DiNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Stock

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Athene Holding Ltd 96 PITTS BAY ROAD PEMBROKE, D0 HM08		X					
ATHENE ANNUITY & LIFE ASSURANCE Co 400 BROOKFIELD PARKWAY GREENVILLE, SC 29607		X					
Athene Annuity & Life Assurance Co of New York 69 LYDECKER STREET NYACK, NY 10960		X					
Athene Annuity & Life Co 7700 MILLS CIVIC PARKWAY WEST DES MOINES, IA 50266		X					
Athene USA Corp 7700 MILLS CIVIC PARWAY WEST DES MOINES, IA 50266		X					

Reporting Owners 2

Edgar Filing: TORTOISE ENERGY INFRASTRUCTURE CORP - Form 4

Signatures

[see signatures attached as Exhibit 99.2] 10/10/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) See Exhibit 99.1.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3