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TRI-CONTINENTAL CORP Form N-Q November 27, 2013

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM N-Q

QUARTERLY SCHEDULE OF PORTFOLIO HOLDINGS OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-00266

Tri-Continental Corporation (Exact name of registrant as specified in charter)

225 Franklin Street, Boston, Massachusetts (Address of principal executive offices)

02110 (Zip code)

Scott R. Plummer

5228 Ameriprise Financial Center

Minneapolis, MN 55474 (Name and address of agent for service)

Registrant s telephone number, including area code: (800) 345-6611

Date of fiscal year December 31

end:

Date of reporting period: September 30, 2013

Item 1. Schedule of Investments.

Portfolio of Investments

Tri-Continental Corporation

September 30, 2013 (Unaudited)

(Percentages represent value of investments compared to net assets)

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Issuer	Shares	Value
Common Stocks 67.5%		
CONSUMER DISCRETIONARY 6.4%		
Diversified Consumer Services 0.2%		
H&R Block, Inc.	98,200	\$ 2,618,012
Hotels, Restaurants & Leisure 0.7%	90,200	φ 2,010,012
McDonald s Corp.	72,500	6,975,225
Starwood Hotels & Resorts Worldwide, Inc.	51,400	3,415,530
Total	31,400	10,390,755
Household Durables 0.4%		10,330,733
Whirlpool Corp.	34,300	5,022,892
Media 2.2%	04,500	3,022,032
Comcast Corp., Class A	351,400	15,865,710
DIRECTV (a)	92,800	5,544,800
Discovery Communications, Inc., Class A (a)	109,700	9,260,874
Total	,	30,671,384
Multiline Retail 0.1%		33,011,001
Macy s, Inc.	36,300	1,570,701
Specialty Retail 2.3%	,	,, -
Gap, Inc. (The)	224,000	9,022,720
Home Depot, Inc. (The)	195,600	14,836,260
Lowe s Companies, Inc.	57,500	2,737,575
TJX Companies, Inc.	84,600	4,770,594
Total		31,367,149
Textiles, Apparel & Luxury Goods 0.5%		
Nike, Inc., Class B	54,400	3,951,616
VF Corp.	13,700	2,726,985
Total		6,678,601
TOTAL CONSUMER DISCRETIONARY		88,319,494
CONSUMER STAPLES 6.5%		
Beverages 0.3%		
Coca-Cola Enterprises, Inc.	83,000	3,337,430
Food & Staples Retailing 2.2%		
CVS Caremark Corp.	52,000	2,951,000
Kroger Co. (The)	349,200	14,086,728
Wal-Mart Stores, Inc.	182,200	13,475,512
Total		30,513,240
Food Products 1.2%		
Campbell Soup Co.	56,400	2,296,044

Issuer	Shares	Value
Common Stocks (continued)		
CONSUMER STAPLES (CONTINUED)		
Food Products (continued)		
General Mills, Inc.	34,400 \$	1,648,448
Kellogg Co.	115,000	6,753,950
Tyson Foods, Inc., Class A	203,100	5,743,668
Total		16,442,110
Household Products 0.6%		
Kimberly-Clark Corp.	20,000	1,884,400
Procter & Gamble Co. (The)	87,500	6,614,125
Total		8,498,525
Tobacco 2.2%		
Lorillard, Inc.	251,200	11,248,736
Philip Morris International, Inc.	220,400	19,084,436
Total		30,333,172
TOTAL CONSUMER STAPLES		89,124,477
ENERGY 7.2%		
Energy Equipment & Services 1.0%		
Diamond Offshore Drilling, Inc.	107,700	6,711,864
Ensco PLC, Class A	125,000	6,718,750

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Total		13,430,614
Oil, Gas & Consumable Fuels 6.2%		
Anadarko Petroleum Corp.	24,800	2,306,152
Apache Corp.	39,000	3,320,460
BP PLC, ADR	170,000	7,145,100
Chevron Corp. (b)	191,898	23,315,607
ConocoPhillips	330,500	22,973,055
Exxon Mobil Corp.	70,500	6,065,820
Murphy Oil Corp.	16,500	995,280
Phillips 66	150,200	8,684,564
Valero Energy Corp.	316,400	10,805,060
Total		