PEARSON ROBERT C

Form 5 February 07, 2003

1 c oradi y 07, 2005		
FORM 5		OMB APPROVAL
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION	
Check box if no longer	Washington, D.C. 20549	
subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP	OMB Number: 3235-0362
_ Form 3 Holdings Reported	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940	Expires: January 31, 2005 Estimated average burden hours per response 1.0
Form 4 Transactions Reported		

1. Name and Address of Reporting Person			2. Issuer Name and		 Relationship of Reporting Person(s) to Issuer (Check all applicable) 			
Pearson,			Ticker or Trading Symbol	4. Statement for Month/Year	▲ Director Officer (give title below)	10% Owner Other (specify below)		
(Last) (I	First)	(Middle)						
			Advanced Power Technology, Inc. APTI	December/2002				
8080 N Central Expwy, #210 LB-59								
	(Street)		3. I.R.S. Identification Number of Reporting Person, if an entity	5. If Amendment, Date of				
Dallas,	ТХ	75206	(voluntary)	Original (Month/Year)	 Individual or Joint/Group Rep (check applicable line) 	porting		
(City)	(State)	(Zip)						

 $\underline{\mathbf{X}}$ Form Filed by One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, GoBerEdirdally Movinedian One Reporting Person

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/ Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities or Dispos (Instr. 3, 4 Amount	ed of (D)		5. Amount of Securities Beneficially Owned at the end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Owner- ship Form: Direct(D) or Indirect (I) (Instr. 4)	 Nature of Indirect Beneficial Ownership (Instr. 4)
---------------------------------------	--	---	--------------------------------------	--	-----------	--	--	---	--

Edgar Filing: PEARSON ROBERT C - Form 5

Common Stock				11,000	D	

* If the form is filed by more than one reporting person, see instruction 4(b)(v).

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	2. Conver		3A. Deemed		of (D) 6. Date Exercisable and (Instr. 3, 4Expiration Date			7. Title and Amount of Underlying Securities (Instr. 3 and 4)				10.Ownership		
1. Title of Derivative Security (Instr. 3)	sion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/Year)	Execution Date, if any (Month/ Day/Year)	4. Transaction Code (Instr. 8)	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	8. Price of Derivative Security (Instr. 5)	Securities Beneficially Owned at End of Year (Instr. 4)	of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. N of Inc Benef Owne (Instr
1-Option right to buy (#612)	\$19.50						8/22/01	8/22/10	Common Stock	10,500		10,500	D	
2-Option right to buy (#634)	\$12.75						12/27/01	12/27/10	Common Stock	16,500		16,500	D	
3-Option right to buy (#781)	\$14.39						8/1/02	8/1/11	Common Stock	7,000		7,000	D	
4-Option right to buy (#1595)	\$9.40	8/1/02		А			8/1/03	8/1/12	Common Stock	7,000		7,000	D	

Explanation of Responses:

Edgar Filing: PEARSON ROBERT C - Form 5

/s/ ROBERT PEARSON	1/28/03
** Signature of Reporting Person	Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.

http://www.sec.gov/divisions/corpfin/forms/form5.htm

Last update: 09/03/2002