

UMPQUA HOLDINGS CORP
Form 4
June 13, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CALERO ULDERICO JR

2. Issuer Name and Ticker or Trading Symbol
UMPQUA HOLDINGS CORP
[UMPQ]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

ONE SW COLUMBIA STREET,
SUITE 1200

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
06/11/2013

____ Director
 Officer (give title below) _____ Other (specify below)
EVP/Community Banking

PORTLAND, OR 97258

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Common Stock	06/11/2013		J	(A) 5,000 (1)	D	\$ 0	48,276 D
Common Stock	06/11/2013		J	(A) 6,666 (1)	D	\$ 0	41,610 D
Common Stock	06/11/2013		J	(A) 8,000 (1)	D	\$ 0	33,610 D
Common Stock	06/11/2013		J	(A) 3,000 (1)	D	\$ 0	30,610 D
Common Stock	06/11/2013		J	(A) 12,000 (1)	D	\$ 0	18,610 D

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Common Stock	06/11/2013	J	15,000 (1)	D	\$ 0	3,610	D
Common Stock	06/11/2013	M	10,000	A	\$ 11.26	13,610	D
Common Stock	06/11/2013	F	7,852 (2)	D	\$ 14.34	5,758	D
Common Stock	06/11/2013	F	780 (2)	D	\$ 14.34	4,978	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)
Non-Qualified Stock Option (right to buy)	\$ 11.26	06/11/2013		J	15,000 (3)	11/15/2011 ⁽⁴⁾ 11/14/2020	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 11.26	06/11/2013		M	10,000	11/15/2011 ⁽⁴⁾ 11/14/2020	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CALERO ULDERICO JR ONE SW COLUMBIA STREET, SUITE 1200 PORTLAND, OR 97258			EVP/Community Banking	

Signatures

By: Steven L. Philpott, Attorney in Fact For: Ulderico Calero, Jr.

06/13/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Unvested shares that are forfeited or canceled upon termination of employment.
- (2) Payment of tax liability by delivering or withholding securities incident to the receipt, exercise or vesting of a security issued in accordance with Rule 16b-3.
- (3) Options canceled and/or expired following termination of employment.
- (4) Option granted 11/15/10. Beginning on the first anniversary of the grant date, the options vest 20% per year for five years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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