Kamin Tony Form 4 March 29, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Kamin Tony

2. Issuer Name and Ticker or Trading

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Symbol

EMPIRE PETROLEUM CORP [EMPR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) 3. Date of Earliest Transaction (Month/Day/Year)

03/27/2019

\_X\_\_ 10% Owner \_X\_\_ Director \_\_ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original

(Middle)

(7:-

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

GLENCOE, IL 60022

(C:+-)

619 BLUFF STREET

(City)	(State)	(Zip) Tab	le I - Non-	Derivative S	Securi	ties Acq	uired, Disposed	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie on(A) or Disp (Instr. 3, 4)	osed o	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/27/2019		X	66,667	A	\$ 0.15	456,667	D	
Common Stock	03/27/2019		X	266,667	A	\$ 0.15	484,304	I	By RLR Services Partnership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Edgar Filing: Kamin Tony - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	onDerivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Sect (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Ai Ni Sh
Common Stock Warrant (Right to Buy)	\$ 0.15	03/27/2019		X		66,667	12/01/2017	12/31/2019	Common Stock	$\epsilon$
Common Stock Warrant (Right to Buy)	\$ 0.15 (1)	03/27/2019(1)		X		266,667	12/23/2016	12/31/2019(1)	Common Stock	2
Convertible Note	\$ 0.1 (2)	03/27/2019(2)		J	0 (2)		12/23/2016	12/31/2019(2)	Common Stock	4

### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Kamin Tony 619 BLUFF STREET GLENCOE, IL 60022	X	X						

## **Signatures**

/s/ Tony Kamin 03/29/2019

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Common Stock Warrant was amended on 12/31/2018 to extend expiration date from 12/31/2018 to 12/31/2019. Amended further on 3/27/2019 to adjust number of shares underlying warrant from 160,000 shares to 266,667 shares and to reduce exercise price from \$0.25 per share to \$0.15 per share.
- On 12/31/2018 maturity date of Convertible Note in aggregate principal amount of \$40,000 was extended from 12/31/2018 to 12/31/2019. Amended on 3/27/2019 to adjust number of shares underlying note from 266,667 shares to 400,000 shares and to reduce conversion price from \$0.15 per share to \$0.10 per share.

Reporting Owners 2

#### Edgar Filing: Kamin Tony - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.