

BATTLE A GEORGE

Form 4

May 02, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BATTLE A GEORGE

(Last) (First) (Middle)

100 WINCHESTER CIRCLE

(Street)

LOS GATOS, CA 95032

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
NETFLIX INC [NFLX]

3. Date of Earliest Transaction
(Month/Day/Year)
05/01/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	05/01/2018		M		3,269 (1)	A	\$ 7.64	3,269	D
Common Stock	05/01/2018		M		2,870 (1)	A	\$ 8.7186	6,139	D
Common Stock	05/01/2018		M		2,506 (1)	A	\$ 9.9571	8,645	D
Common Stock	05/01/2018		M		1,355 (1)	A	\$ 10.7143	10,000	D
Common Stock	05/01/2018		S		1,813 (1)	D	\$ 307.7553 (2)	8,187	D

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Common Stock	05/01/2018	S	<u>1,526</u> (1)	D	<u>\$ 308.802</u> (3)	6,661	D	
Common Stock	05/01/2018	S	<u>2,974</u> (1)	D	<u>\$ 309.8163</u> (4)	3,687	D	
Common Stock	05/01/2018	S	<u>2,400</u> (1)	D	<u>\$ 310.9267</u> (5)	1,287	D	
Common Stock	05/01/2018	S	<u>800</u> (1)	D	<u>\$ 311.815</u> (6)	487	D	
Common Stock	05/01/2018	S	<u>487</u> (1)	D	<u>\$ 312.9339</u> (7)	0	D	
Common Stock						56,000	I	by Trust (8)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Date of Acquisition or Disposition	9. Date of Exercise or Disposition	10. Title and Amount of Underlying Security (Instr. 3 and 4)	11. Date of Exercise or Disposition
Non-Qualified Stock Option (right to buy)	\$ 7.64	05/01/2018		M	<u>3,269</u> (1)	01/04/2010 01/04/2020	Common Stock				
Non-Qualified Stock Option (right to buy)	\$ 8.7186	05/01/2018		M	<u>2,870</u> (1)	02/01/2010 02/01/2020	Common Stock				
Non-Qualified Stock Option (right to buy)	\$ 9.9571	05/01/2018		M	<u>2,506</u> (1)	03/01/2010 03/01/2020	Common Stock				

Non-Qualified Stock Option (right to buy)	\$ 10.7143	05/01/2018	M	1,355 ⁽¹⁾	04/01/2010	04/01/2020	Common Stock	1,355
Non-Qualified Stock Option (right to buy)	\$ 313.3	05/01/2018	A	199	05/01/2018	05/01/2028	Common Stock	199

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BATTLE A GEORGE 100 WINCHESTER CIRCLE LOS GATOS, CA 95032	X			

Signatures

By: Carole Payne, Authorized Signatory For: George A. Battle

05/02/2018

**Signature of Reporting Person

Date _____

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Transaction made pursuant to duly adopted trading plan under Rule 10b5-1(c).

This transaction was executed in multiple trades at prices ranging from \$307.34 to \$308.30. The price reported above reflects the

- (2) weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

This transaction was executed in multiple trades at prices ranging from \$308.35 to \$309.34. The price reported above reflects the

- (3) weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

This transaction was executed in multiple trades at prices ranging from \$309.38 to \$310.35. The price reported above reflects the

- (4) weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

This transaction was executed in multiple trades at prices ranging from \$310.42 to \$311.37. The price reported above reflects the

- (5) weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

This transaction was executed in multiple trades at prices ranging from \$311.60 to \$311.95. The price reported above reflects the

- (6) weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

This transaction was executed in multiple trades at prices ranging from \$312.60 to \$313.12. The price reported above reflects the

- (7) weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (8) As a Trustee of the A. George Battle 2011 Separate Property Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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