## Edgar Filing: CARPENTER TECHNOLOGY CORP - Form 4

#### CARPENTER TECHNOLOGY CORP

Form 4 April 29, 2005

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

burden hours per

response...

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
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0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of R ROSSIN ADA E	eporting Person *	2. Issuer Name and Ticker or Trading Symbol CARPENTER TECHNOLOGY CORP [CRS]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last) (First)  C/O PNC ADVISOR HAWTHORN, 249 F AVENUE, 2ND FLO	IFTH	3. Date of Earliest Transaction (Month/Day/Year) 04/27/2005	Director 10% Owner Officer (give titleX Other (specify below)  Right to Nominate Director				
PITTSBURGH, PA 1		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				

(City)	(State)	(Zip) Ta	ble I - N	on-	Derivative Se	curiti	es Acquired	, Disposed of, or	Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) or TransactionDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or				red (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/17/2004			V	Amount 1,000,000	(D)	\$ 0	3,725	I	Executrix (2)
Common Stock	11/17/2004		<u>J(3)</u>	V	1,000,000	A	\$ 0	1,000,000	I	Trustee (4)
Common Stock	04/27/2005		S		54,400	D	\$ 56.5217 (5)	945,600	I	Trustee (4)
Common Stock	04/28/2005		S		16,400	D	\$ 55.0381	929,200	Ι	Trustee (4)

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					<u>(6)</u>			
Common Stock	04/27/2005	S	3,200	D	\$ 56.5217 (5)	890,685	I	Co-Trustee (7)
Common Stock	04/28/2005	S	1,000	D	\$ 55.0381 <u>(6)</u>	889,685	I	Co-Trustee (7)
Common Stock	04/27/2005	S	400	D	\$ 56.5217 (5)	1,760	I	Trustee (8)
Common Stock	04/28/2005	S	100	D	\$ 55.0381 (6)	1,660	I	Trustee (8)
Common Stock	04/27/2005	S	500	D	\$ 56.5217 (5)	3,225	I	Executrix (2)
Common Stock	04/28/2005	S	200	D	\$ 55.0381 (6)	3,025	I	Executrix (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		5. nNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ROSSIN ADA E C/O PNC ADVISORS - HAWTHORN 249 FIFTH AVENUE, 2ND FLOOR PITTSBURGH, PA 15222

Right to Nominate Director

# **Signatures**

John A. Martin, Attorney in Fact for Ada E. Rossin

04/29/2005

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Transfer from Peter C. Rossin Estate to the Ada E. Rossin QTIP Trust created under Article 6th of the Will of Peter C. Rossin dated 9/1/1998.
- (2) Indirect ownership as Executrix of the Peter C. Rossin Estate
- (3) Transfer to the Ada E. Rossin QTIP Trust from the Peter C. Rossin Estate created under Article 6th of the Will of Peter C. Rossin dated 9/1/1998
- (4) Indirect ownership as Trustee of the Ada E. Rossin QTIP Trust dated 9/1/1998
- (5) Average trading price for shares sold. Price range of trades \$55.90 to \$58.
- (6) Average trading price for shares sold; Price range of trades \$55.00 to \$55.35.
- (7) Indirect ownership as Co-Trustee of the Ada E. Rossin Revocable Trust dated 9/17/2003 with John Harmon and Robert Lally.
- (8) Indirect ownership as Trustee of the Ada E. Rossin Non QTIP Trust created under Article 6th of the Will of Peter C. Rossin dated 9/1/1998.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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