

NUMERICAL TECHNOLOGIES INC

Form SC 13G/A

February 13, 2003

Schedule 13G

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**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**SCHEDULE 13G**

**Under the Securities Exchange Act of 1934**

(Amendment No. 2)\*

**Numerical Technologies, Inc.**

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(Name of Issuer)

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**Common Stock, par value \$0.0001 per share**

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(Title of Class of Securities)

**67053T 10 1**

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(CUSIP Number)

**12/31/02**

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(Date of Event which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☐ Rule 13d-1(b)

☐ Rule 13d-1(c)

☒ Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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CUSIP No. 67053T 10 1

1. Name of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only).

Yao-Ting Wang

2. Check the Appropriate Box if a Member of a Group (See Instructions)

(a) ☐

(b) ☒

3. SEC Use Only

4. Citizenship or Place of Organization

Taiwan

5. Sole Voting Power

192,749

Number of

6. Shared Voting Power

Shares

Beneficially

1,310,328\*

Owned by

7. Sole Dispositive Power

Each

Reporting

192,749

Person With

8. Shared Dispositive Power

1,310,328\*

9. Aggregate Amount Beneficially Owned by Each Reporting Person

1,590,697\*\*

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10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)

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11. Percent of Class Represented by Amount in Row (9)

4.7%

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12. Type of Reporting Person (See Instructions)

IN

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CUSIP No. 67053T 10 1

1. Name of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only).

Ying-Chih Chang

2. Check the Appropriate Box if a Member of a Group (See Instructions)

(a) ☐

(b) ☒

3. SEC Use Only

4. Citizenship or Place of Organization

Taiwan

5. Sole Voting Power

6,750

Number of

Shares

6. Shared Voting Power

Beneficially

1,310,328\*

Owned by

Each

7. Sole Dispositive Power

Reporting

6,750

Person With

8. Shared Dispositive Power

1,310,328\*

9. Aggregate Amount Beneficially Owned by Each Reporting Person

1,317,078\*\*\*

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10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)

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11. Percent of Class Represented by Amount in Row (9)

3.9%

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12. Type of Reporting Person (See Instructions)

IN

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**Item 1.**

- (a) Name of Issuer Numerical Technologies, Inc.
- (b) Address of Issuer's Principal Executive Offices 70 West Plumeria Drive, San Jose, CA 95134

**Item 2.**

- (a) Name of Person Filing Yao-Ting Wang and Ying-Chih Chang, husband and wife
- (b) Address of Principal Business Office or, if none, Residence c/o Numerical Technologies, Inc.,

70 West Plumeria Drive

San Jose, CA 95134

- (c) Citizenship Taiwan
- (d) Title of Class of Securities Common Stock, par value \$0.0001 per share
- (e) CUSIP Number 67053T 10 1

**Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:**

- (a) ☐ Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
- (b) ☐ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c) ☐ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- (d) ☐ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).

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- (e) " An investment advisor in accordance with §240.13d-1(b)(1)(ii)(E);
- (f) " An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
- (g) " A parent holding company or control person in accordance with §240.13d-1(b)(1)(ii)(G);
- (h) " A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) " A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j) " Group, in accordance with §240.13d-1(b)(1)(ii)(J).

### Item 4. Ownership

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

- (a) Amount beneficially owned:

Yao-Ting Wang: 1,590,697 shares\*\*

Ying-Chih Chang: 1,317,038 shares\*\*\*



(b) Percent of class:

Yao-Ting Wang: 4.7%, based on 33,986,173 outstanding shares of Common Stock of the Issuer as of 01/21/03, which such number includes 273,303 exchangeable shares issued in connection with the Issuer's October 2000 acquisition of Cadabra Design Automation Inc. ( Cadabra ).

Ying-Chih Chang: 3.9%, based on 33,986,173 outstanding shares of Common Stock of the Issuer as of 01/21/03, which such number includes 273,303 exchangeable shares issued in connection with the Issuer's October 2000 acquisition of Cadabra.

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote

Yao-Ting Wang: 192,749 shares

Ying-Chih Chang: 6,750 shares

(ii) Shared power to vote or to direct the vote

Yao-Ting Wang: 1,310,328 shares\*

Ying-Chih Chang: 1,310,328 shares\*

(iii) Sole power to dispose or to direct the disposition of

Yao-Ting Wang: 192,749 shares

Ying-Chih Chang: 6,750 shares

(iv) Shared power to dispose or to direct the disposition of

Yao-Ting Wang: 1,310,328 shares\*

Ying-Chih Chang: 1,310,328 shares\*

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\* 1,310,328 shares are held by The Dragon Ball Living Trust U/A Dated 12/19/01, of which Yao-Ting Wang and his spouse, Ying-Chih Chang, serve as trustees (the Dragon Ball Trust Shares ).

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\*\* Includes the Dragon Ball Trust Shares and 87,620 shares which may be acquired within 60 days of January 21, 2003 by Yao-Ting Wang upon exercise of stock options.

\*\*\* Includes the Dragon Ball Trust Shares.

*Instruction.* For computations regarding securities which represent a right to acquire an underlying security *see* §240.13d3(d)(1).

### **Item 5. Ownership of Five Percent or Less of a Class**

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following ☒

*Instruction:* Dissolution of a group requires a response to this item

### **Item 6. Ownership of More than Five Percent on Behalf of Another Person**

Not applicable.

### **Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person**

Not applicable.

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**Item 8. Identification and Classification of Members of the Group**

Not applicable.

**Item 9. Notice of Dissolution of Group**

Not applicable.

**Item 10. Certification**

Not applicable.

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 10, 2003

Date

/s/ YAO-TING WANG

Signature

Yao-Ting Wang, Senior Vice  
President and Chief  
Technology Officer of  
Numerical Technologies, Inc.

Name/Title

February 10, 2003

Date

/s/ YING-CHIH CHANG

Signature

Ying-Chih Chang

Name/Title

The original statement shall be signed by each person on whose behalf the statement is filed or his authorized representative. If the statement is signed on behalf of a person by his authorized representative other than an executive officer or general partner of the filing person, evidence of the representative's authority to sign on behalf of such person shall be filed with the statement, provided, however, that a power of attorney for this purpose which is already on file with the Commission may be incorporated by reference. The name and any title of each person who signs the statement shall be typed or printed beneath his signature.

*NOTE:* Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See §240.13d-7 for other parties for whom copies are to be sent.

**Attention:** Intentional misstatements or omissions of fact constitute Federal criminal violations (See 18 U.S.C. 1001)