

Edgar Filing: COELHO PHILIP H - Form 4

COELHO PHILIP H  
 Form 4  
 July 10, 2002  
 FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

|                          |         |          |   |  |                              |   |                  |                  |                 |  |
|--------------------------|---------|----------|---|--|------------------------------|---|------------------|------------------|-----------------|--|
| -----                    |         |          | 1. Name and Address of Reporting Person*/ |  | 2. Issuer Name and Ticker    |   | /                |                  | 6. Relationship |  |
|                          |         |          |   |  | or Trading Symbol            |   |                  |                  | (Check all      |  |
|                          |         |          |   |  |                              |   |                  |                  | X Direct        |  |
|                          |         |          |   |  |                              |   |                  |                  | X Office        |  |
|                          |         |          |   |  | THERMOGENESIS CORP. ("KOOL") |   |                  |                  | (give           |  |
| Coelho, Philip           |         |          |   |  |                              |   |                  |                  | Chief Exec      |  |
| -----                    |         |          | /   |  | -----                        |   | /                |                  | -----           |  |
| (Last)                   | (First) | (Middle) | /   |  | 3. IRS or                    | / |                  | 4. Statement for | /               |  |
|                          |         |          |   |  | Social Security              |   | (Month/Year)     |                  | /               |  |
| c/o THERMOGENESIS CORP.  |         |          |   |  | Number of                    |   |                  |                  | /               |  |
| 3146 Gold Camp Drive     |         |          |   |  | Reporting Person             |   | 06/2002          |                  | /               |  |
| -----                    |         |          | /   |  | -----                        |   | /                |                  | /               |  |
| (Street)                 |         |          |   |  |                              |   | 5. If Amendment, |                  | /               |  |
|                          |         |          |   |  | --                           |   | Date of          |                  | /               |  |
|                          |         |          |   |  |                              |   | Original         |                  | /               |  |
| Rancho Cordova, CA 95670 |         |          |   |  |                              |   | (Month/Year)     |                  | /               |  |
| -----                    |         |          | /   |  | -----                        |   | /                |                  | /               |  |
| (City) (State) (Zip)     |         |          |   |  |                              |   |                  |                  | /               |  |
| -----                    |         |          | /   |  | -----                        |   | /                |                  | /               |  |

TABLE I - NONDERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIA

|          |   |             |   |                |   |                            |   |            |   |                 |
|----------|---|-------------|---|----------------|---|----------------------------|---|------------|---|-----------------|
| -----    |   |             |   |                |   |                            |   |            |   |                 |
| 1. Title | / | 2. Trans-   | / | 3. Transaction | / | 4. Securities Acquired (A) |   |            | / | 5. Amount of    |
| of       | / | action      | / | Code           | / | or Disposed of (D)         |   |            | / | Securities      |
| Security | / | Date        | / | (Instr. 8)     | / | (Instr. 3,4 and 5)         |   |            | / | Beneficially    |
| (Inst.3) | / | (Mo/Day/Yr) | / | -----          | / | -----                      |   |            | / | Owned at End    |
|          | / |             | / | Code           | / | Amount                     | / | (A) or (D) | / | of Month        |
|          | / |             | / | /              | / | /                          | / | Price      | / | (Inst. 3 and 4) |
|          | / |             | / | /              | / | /                          | / | /          | / |                 |
| =====    |   |             |   |                |   |                            |   |            |   |                 |
| -----    |   |             |   |                |   |                            |   |            |   |                 |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly by the reporting person.  
 \*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
 (e.g., puts, calls, warrants, options, convertible securities)

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Con-<br>version<br>or<br>exercise<br>Price of<br>of<br>Deriv-<br>ative<br>Secu-<br>rity | 3.<br>Trans-<br>action<br>Date<br>(Mo.<br>/ Day<br>/ Year) | 4.<br>Trans-<br>action<br>Code<br>(Inst.8) | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Inst.3,4,5) | 6.<br>Date<br>Exercisable<br>and<br>Expiration<br>Date<br>(Month/Day/Year) | 7.<br>Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3,4) | 8.<br>Price<br>of<br>Deriv-<br>ative<br>Secu-<br>rity<br>(Inst.4) |
|--|---|--|--|---|--|--|---|
| Options to<br>Purchase<br>Common<br>Stock              | \$2.12  | 6/28/02  | A  | 1,000,000   | (1) 6/28/09  | Common<br>Stock 1,000,000  | -   |
| Options to<br>Purchase<br>Common<br>Stock              | \$1.125   | 6/28/02  | D(2)                                       | 150,000   | 1/1/00 7/29/02   | Common<br>Stock 150,000  | -   |
| Options to<br>Purchase<br>Common<br>Stock              | \$1.125   | 6/28/02  | A  | 150,000   | 1/1/00 7/29/07   | Common<br>Stock 150,000  | -   |
| Warrant to<br>Purchase<br>Common<br>Stock              |   |  |  |   |  |  |   |

Explanation of Responses:

- (1) 200,000 vest on June 30, 2003  
 200,000 vest on June 30, 2004

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200,000 vest on June 30, 2005

200,000 vest on June 30, 2006

200,000 vest on June 30, 2007

- (2) Exercise period of option was extended, which is treated as a cancellation of option in connection with the exercise of option.

/S/ PHILIP COELHO

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Philip Coelho

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.